

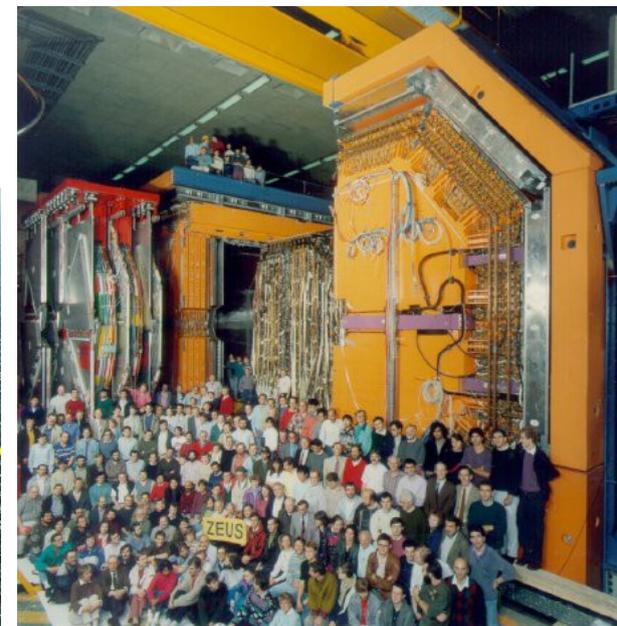
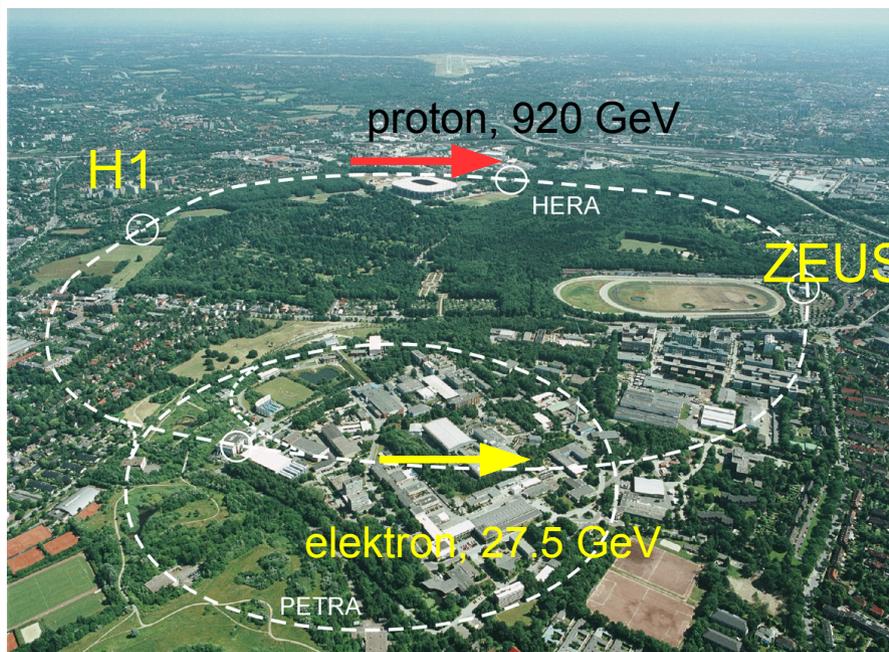


# Heavy meson production at HERA



Jan Figiel

Institute of Nuclear Physics, Kraków  
on behalf of the H1 and ZEUS collaborations



... we investigate the **fundamental forces** and **particles** in  $e p$  collisions at highest energies – quark and gluon interactions, we verify the Standard Model and seek „new physics” ...  
... among the other - studying **heavy meson** production ...



# Hadron production in e-p interactions: (1)

HERA:  $e^\pm$  (27.5 GeV) – p (820/920/575/460 GeV)

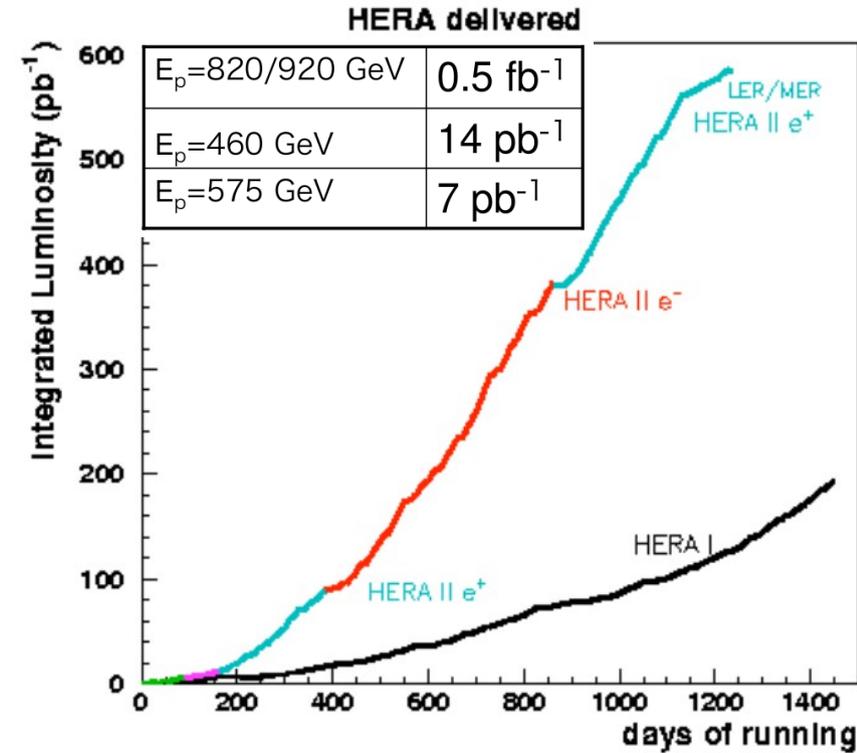
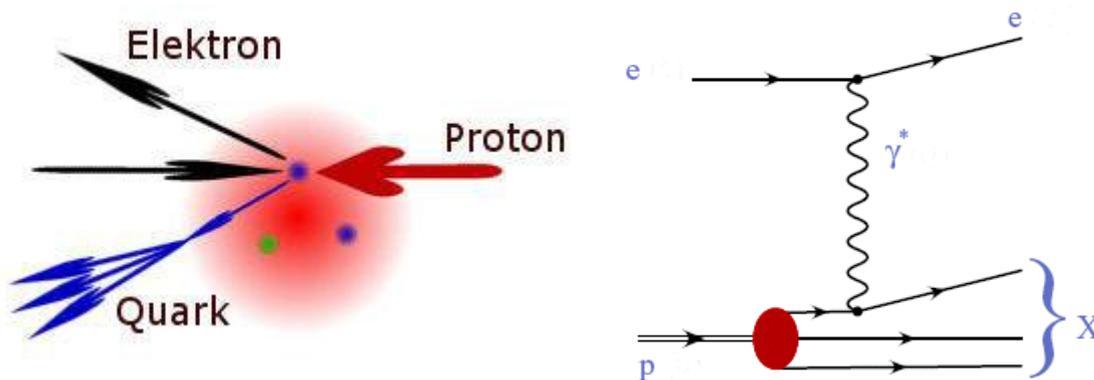
→  $\gamma^* p \rightarrow \text{hadrons}$

$Q^2 \approx 0$  (quasi-) photoproduction (PHP)  
 $Q^2 > 0$  deep inelastic scattering (DIS)

DIS (Quark/parton model, QPM):

$\gamma^*$  proton = sum of inter.  $\gamma^*$  quark/parton  
 parton fragmentation → hadrons ≈ mesons (!)  
 = factorisation of the „hard” and „soft” interaction

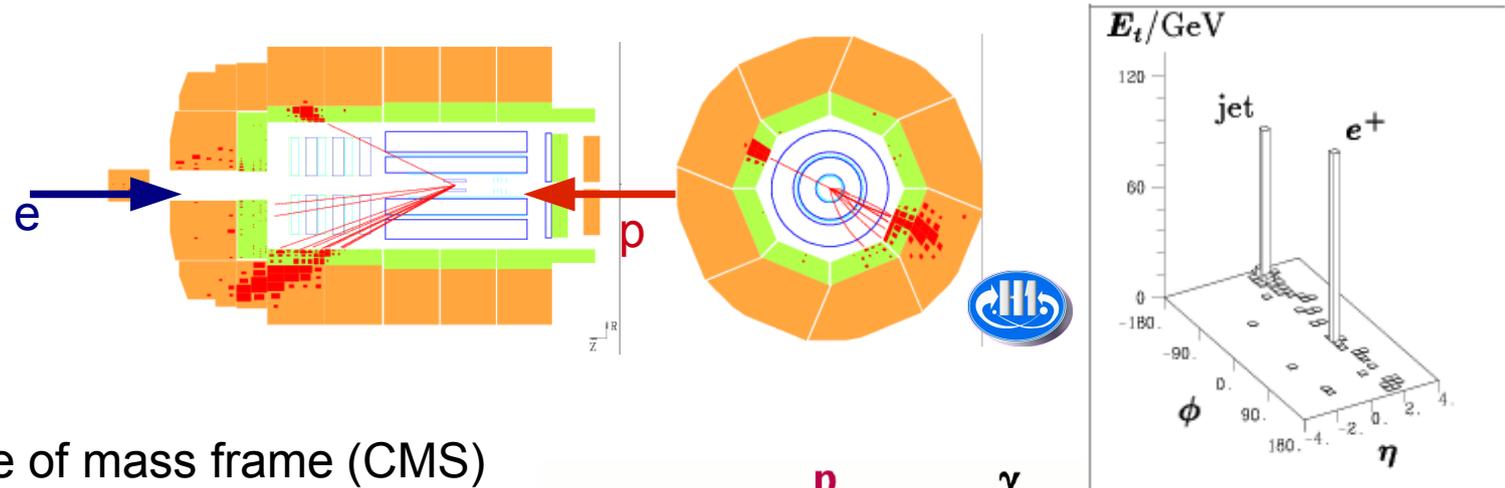
- Proton structure, quarks, gluons...
- Quantum Chromodynamics (QCD)
  - theory of quarks and gluons interactions



$Q^2$  –  $\gamma^*$  virtuality (0 – 10<sup>5</sup> GeV<sup>2</sup>)  
 $s \approx E_e E_p$ ,  $\sqrt{s} \approx 300$  GeV  
 $W$  –  $\gamma^* p$  CMS energy (20 -290 GeV)  
 $x \approx Q^2/W^2$  – Bjorken  $x$  = fractional parton momentum in proton Breit frame  
 $y \approx Q^2/(sx)$  – fractional energy transfer to  $p$

# Hadron production in e-p interactions: (2)

- LABoratory frame...



- $\gamma^* p$  (hadronic) centre of mass frame (CMS)
- Breit frame:  $q(\gamma^*) = (0,0,0,Q)$

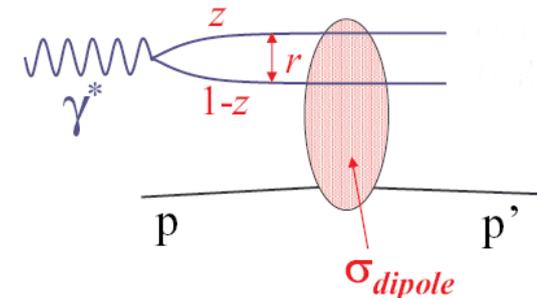
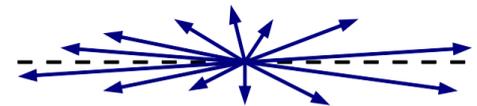
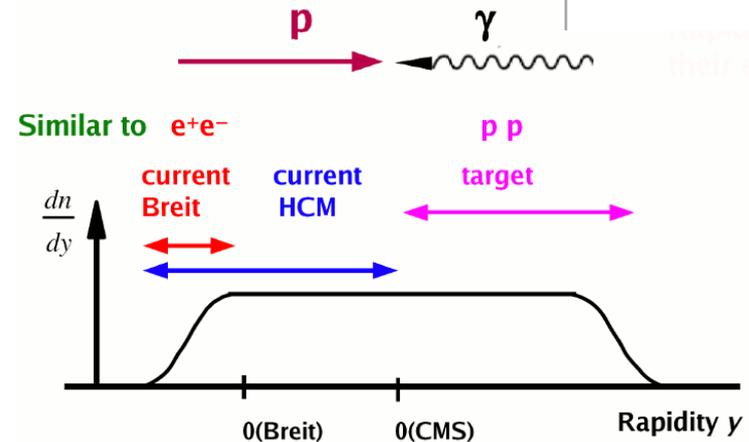
$$x_F = p_L/p_{\max}, \text{ Feynmann } x$$

$$y \approx \eta = -\ln(\tan(\theta/2)), \text{ (pseudo-)rapidity}$$

$$p_T = \text{transverse momentum}$$

- proton rest frame:

diffraction (coherence) condition:  
 fluctuation "length" ( $\gamma^* \rightarrow$  dipol  $qq$ ) =  
 $= 2E_\gamma / (m_{qq}^2 + Q^2) > 1 \text{ fm}$   
 $\rightarrow x < 0.01$

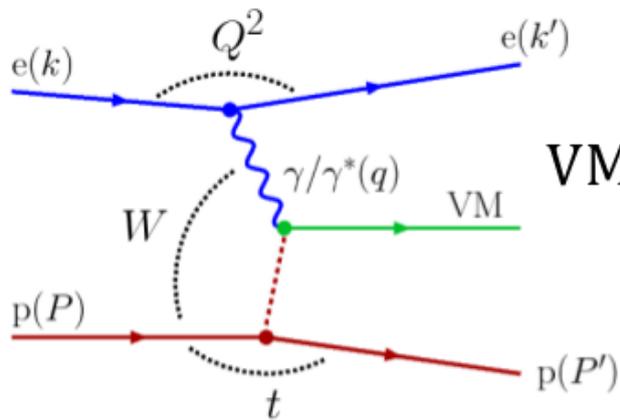


At HERA we observe **diffraction**, (also at  $Q^2 \gg 0$ ) and it makes  $\sim 10\%$  of the visible cross section !

- Diffractive charmonium production:
  - Elastic and proton-dissociative  $J/\Psi$  photoproduction
  - $\Psi'/\Psi$  ratios
- Inelastic  $J/\Psi$  production
- Open charm production:
  - Fragmentation of charmed mesons
  - Charm in proton structure function

# Diffractive meson production at HERA (1)

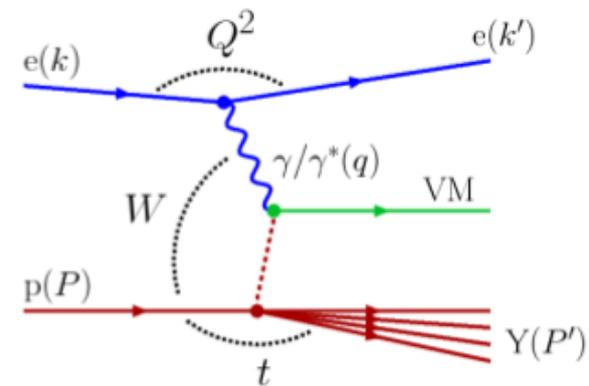
elastic (exclusive)



VM =  $\rho, \omega, \phi, J/\psi, \psi', \Upsilon$

$|t| < 1 \text{ GeV}^2$

proton dissociative



dominates at high  $|t|$

$Q^2$  photon virtuality

$$Q^2 = -q^2 = -(k - k')^2$$

$Q^2 \approx 0$  (PHP)

$W$  CMS energy of  $\gamma p$  system

$$W^2 = (q + P)^2$$

$Q^2 > 0$  (DIS)

$t$  (4-mom. transfer)<sup>2</sup> at p-vertex

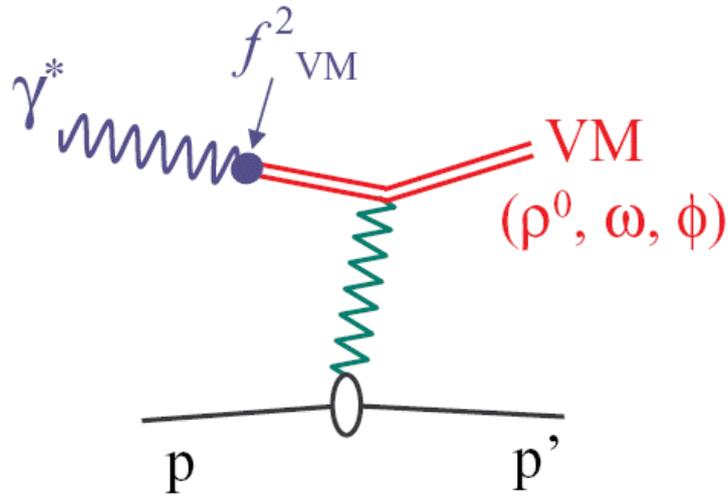
$$t = (P - P')^2$$

$x$  Bjorken  $x$  = fractional parton momentum in proton Breit frame

$$x \approx \frac{Q_2}{W_2}$$

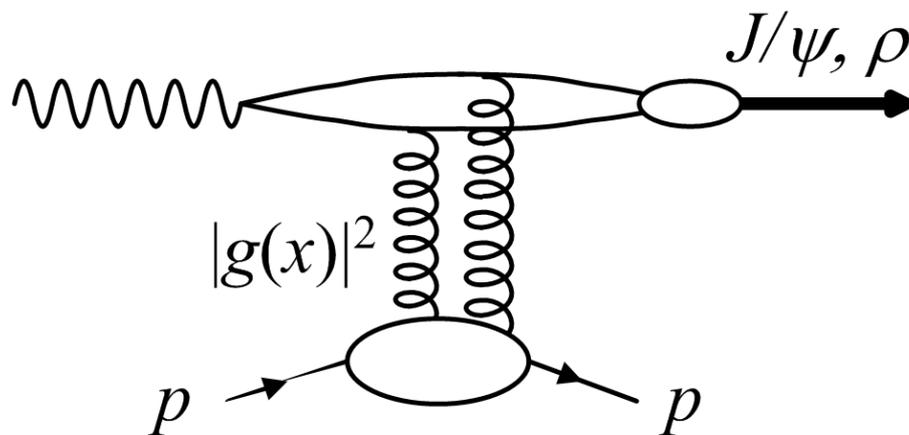
# Diffractive meson production at HERA (2)

## Vector Dominance Model + Regge



- $\gamma^* p \rightarrow VM p = (\gamma^* \rightarrow VM) \otimes (VM p \rightarrow VM p)$
- $VM p \rightarrow VM p \Rightarrow$  DL IPomeron exchange
  - $d\sigma/dt \sim \exp(-b(W)t)$ ,  $b \sim R_{int}^2 \approx 10 \text{ GeV}^{-2}$
  - $b(W) = (b_{VM} + b_p + \alpha' \ln(W^2))$  (“shrinkage”)
  - $\sigma_{VMp} \sim W^{4(\alpha_0-1)}/b(W) \sim W^\delta$ ,  $\delta \approx 0.22$

## Perturbative QCD



- Large  $Q^2$ ,  $M_{VM}$  or  $|t| \rightarrow$  small qq dipol
- QCD Pomeron exchange:  
 $\geq 2$  gluons (colour singlet)
  - $\sigma_{VMp} \sim (xg(x))^2 \sim W^{0.7}$  !!!
  - $b \ll 10 \text{ GeV}^{-2}$ , weak shrinkage

VM at HERA: transition between soft and hard regime; testbed of QCD scales

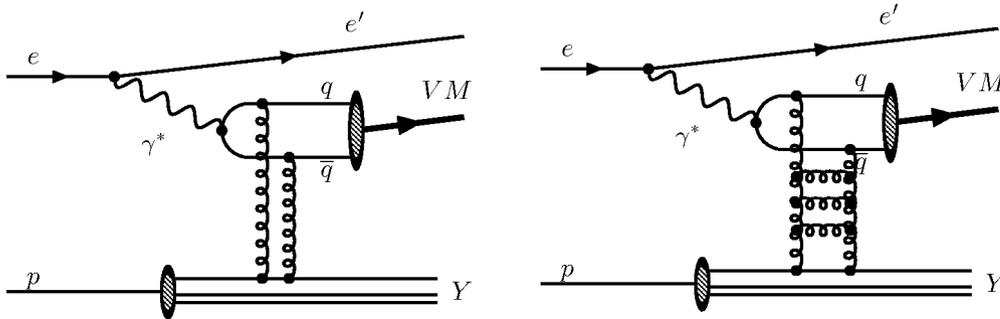
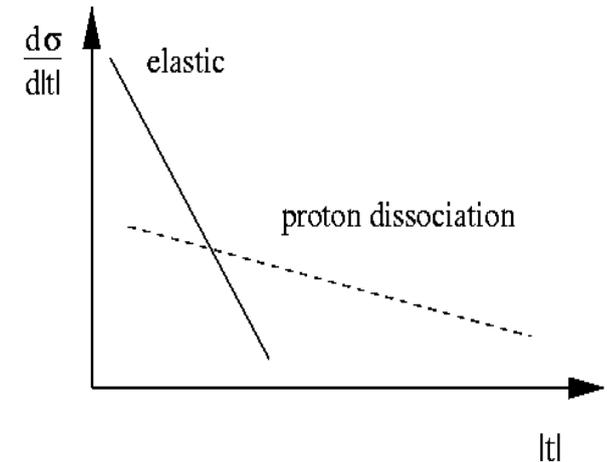
# Diffractive meson production at HERA (3)

## Proton-dissociative VM production...

Colourless exchange (Pomeron) in QCD = ?...

$\gamma p \rightarrow J/\psi Y \rightarrow \mu^+ \mu^- Y$ , proton dissociates...

Large  $M_{J/\psi}$ ,  $|t| \rightarrow$  **perturbative QCD**



$$d\sigma/dt \sim |t|^{-n}$$

- 2-gluon exchange –  $\sigma(W) = \text{const!}$

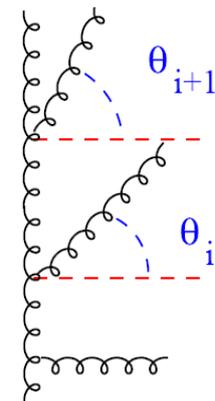
- gluon “ladder” exchange  $\rightarrow$

**DGLAP** –  $\sigma(W) \approx \text{const}(W)$

**BFKL** –  $\sigma(W) \uparrow, W \uparrow \dots!$

## Gluon cascade dynamics:

- Dokshitzer, Gribov, Lipatov, Altarelli, Parisi (DGLAP): gluon transverse momenta ordered, evolution in  $Q^2$
- Balitsky, Fadin, Kuraev, Lipatov (BFKL): no ordering of gluon transverse momenta, evolution with  $x$  ( $1/W$ )

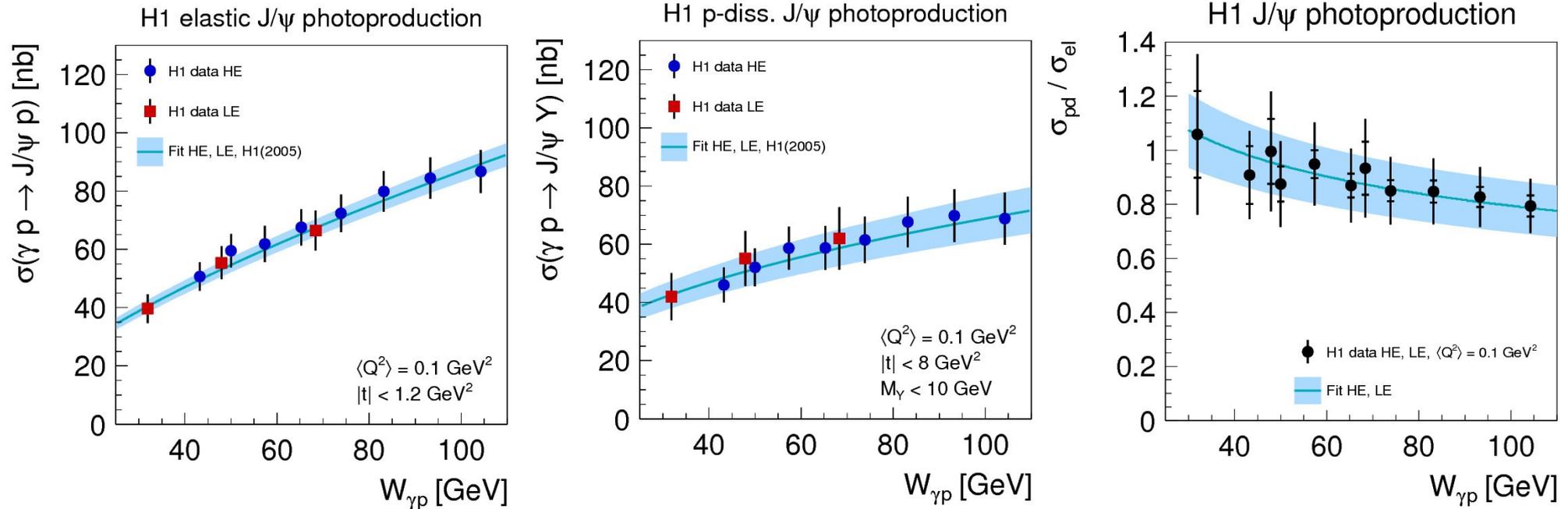


# Charmonium production at HERA (1)

H1 (EPJ **C73** (2013) 2466): Elastic and Proton-dissociative Pmp of J/ψ Mesons at HERA

HE:  $\sqrt{s} \approx 318$  GeV, LE:  $\sqrt{s} \approx 225$  GeV

## Energy dependence

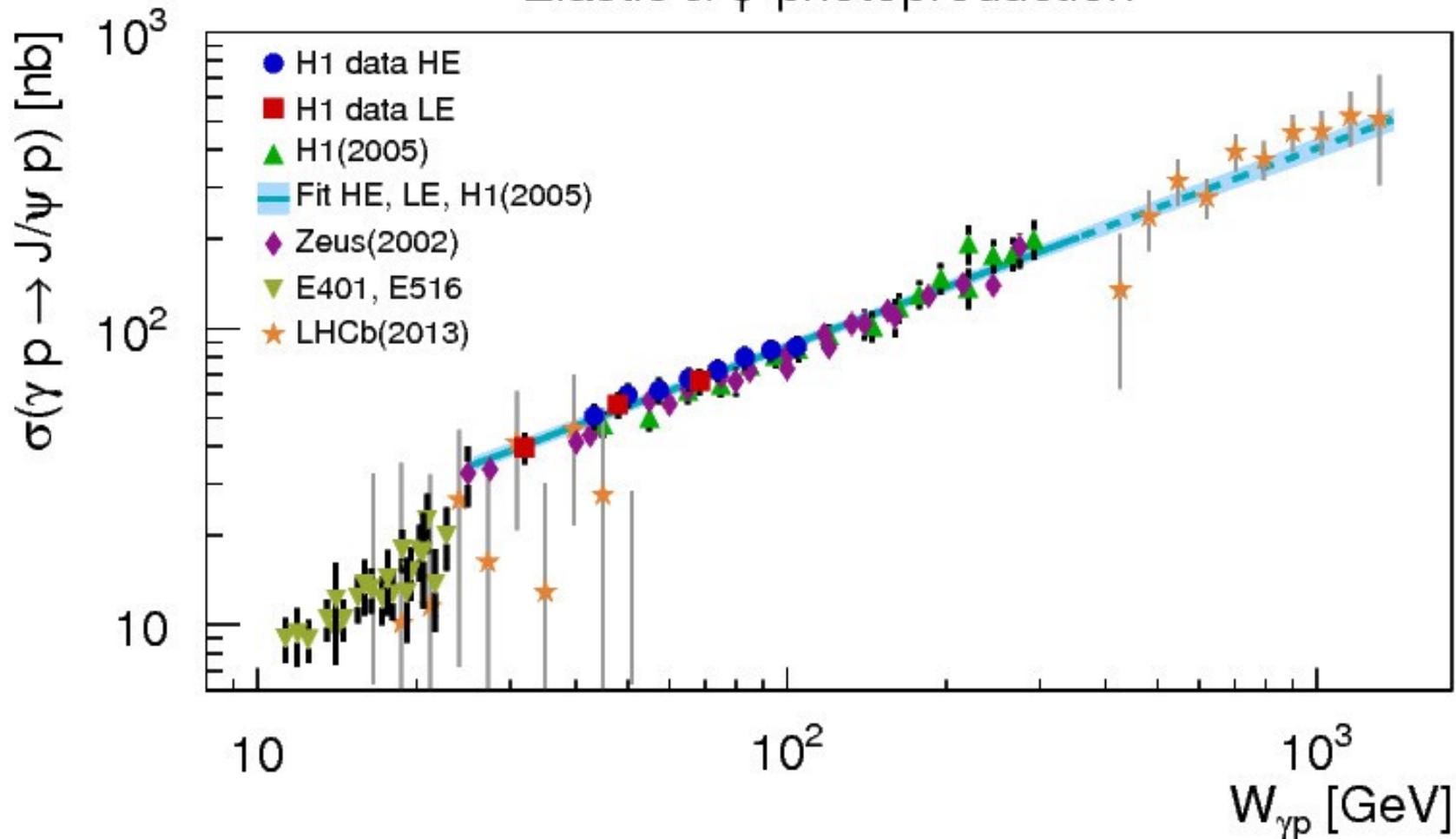


Simultaneous fit of elastic and p-diss. cross section ( $\sigma \sim W^\delta$ ):

$$\delta_{el} = 0.67 \pm 0.03, \quad \delta_{p\text{-diss}} = 0.42 \pm 0.05$$

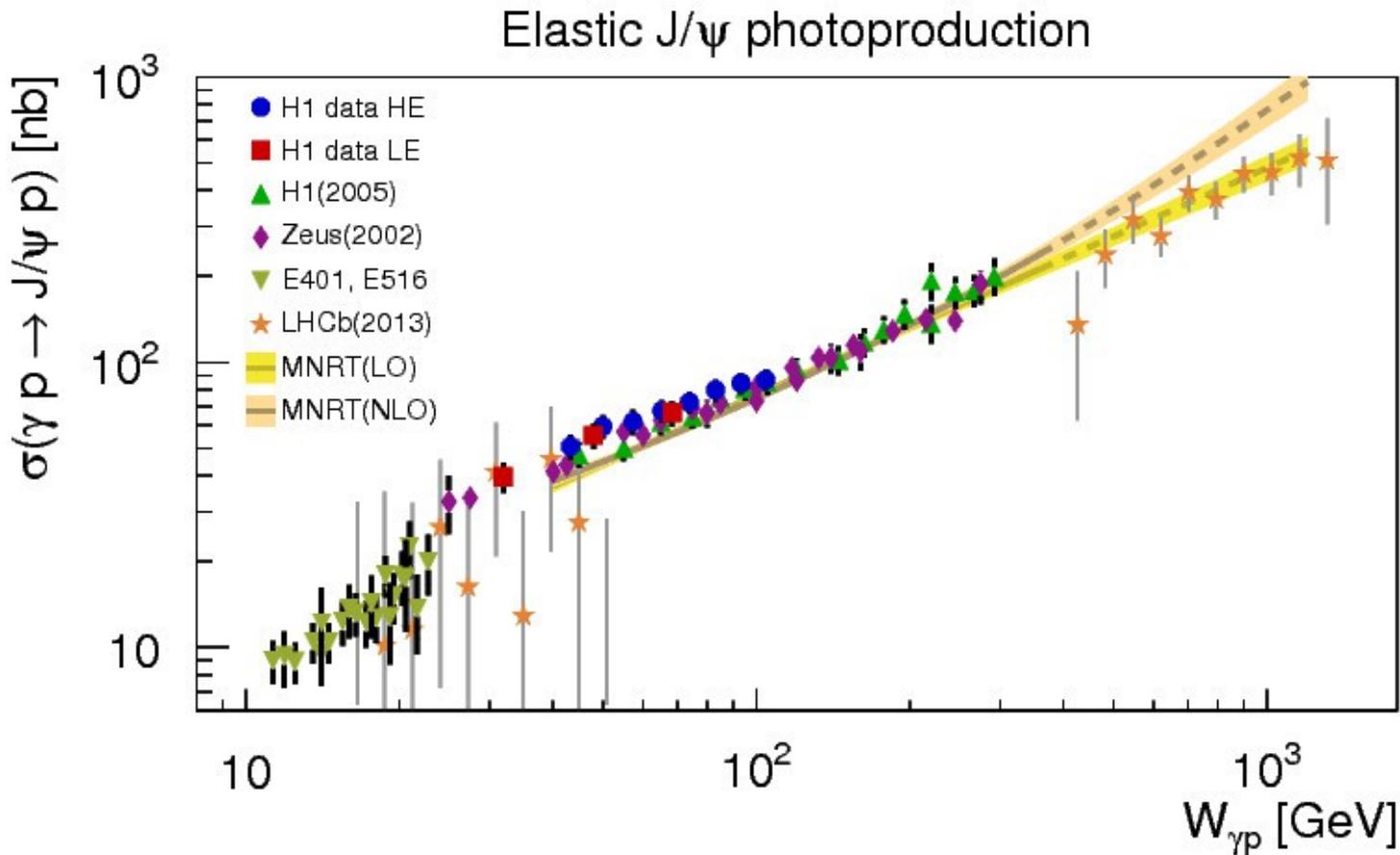
## Energy dependence

Elastic  $J/\psi$  photoproduction



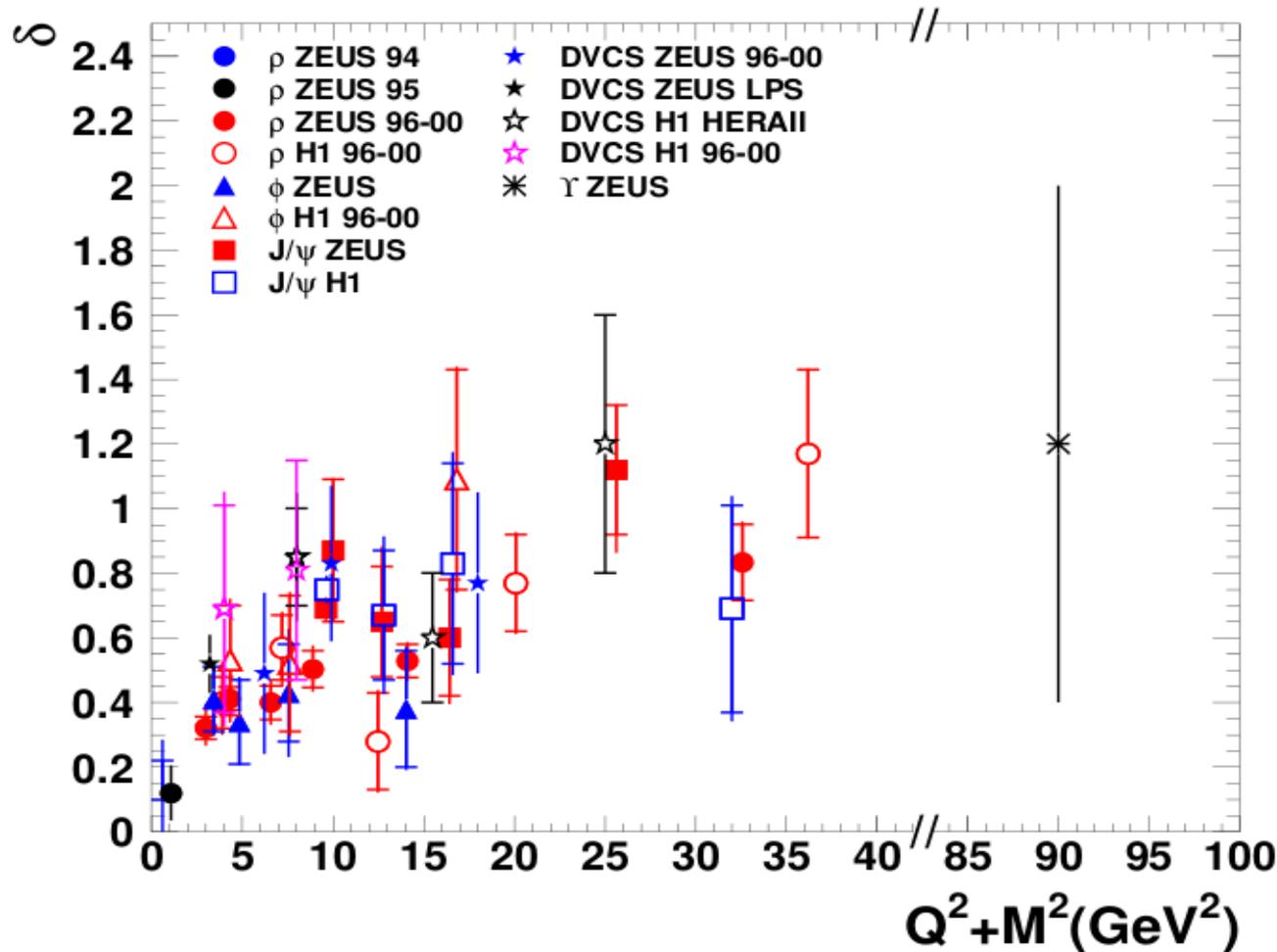
H1 fit extrapolation to higher energy describes LHCb data as well!

## Energy dependence



- LO and NLO fits to previous  $J/\psi$  data from HERA (gluon densities!)
- Both fits extrapolated to higher energies...
- LO extrapolation describes LHCb data.

## Energy dependence compilation



$$\sigma \sim W^\delta$$

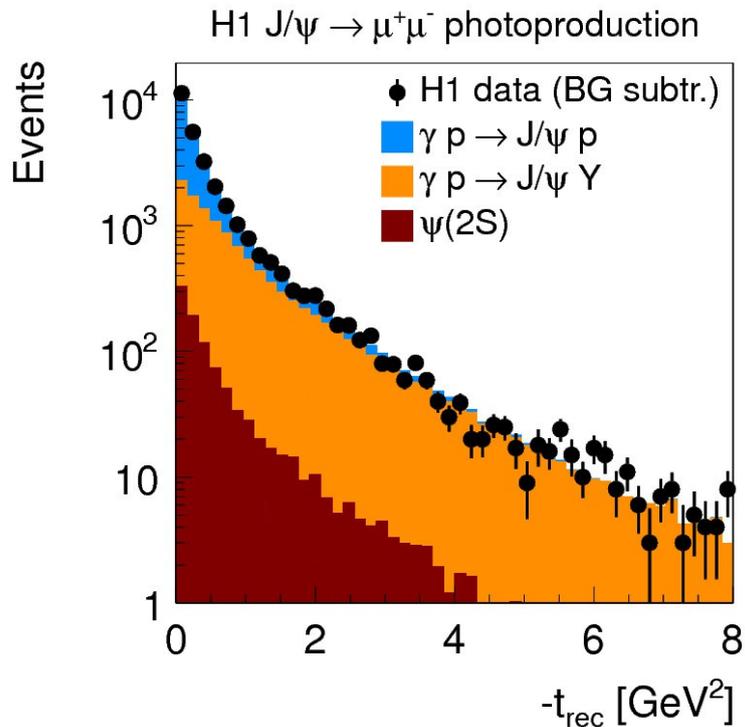
VMs: bigger “hard” scale  $Q^2+M^2$  – steeper rise with  $W$ ,  
 $Q^2+M^2$  scale governs “soft” – “hard” interaction transition

DVCS: always steep rise with  $W$  – “hard” interaction...

## t-dependence

“elastic”:  $d\sigma/dt \sim e^{-b|t|}$

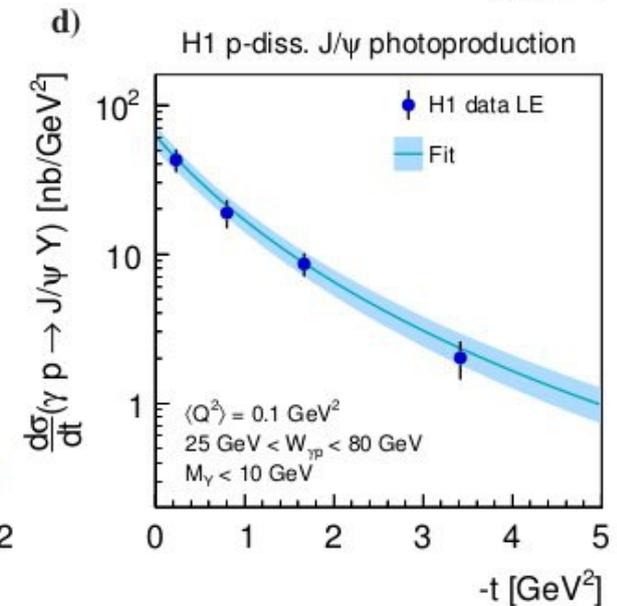
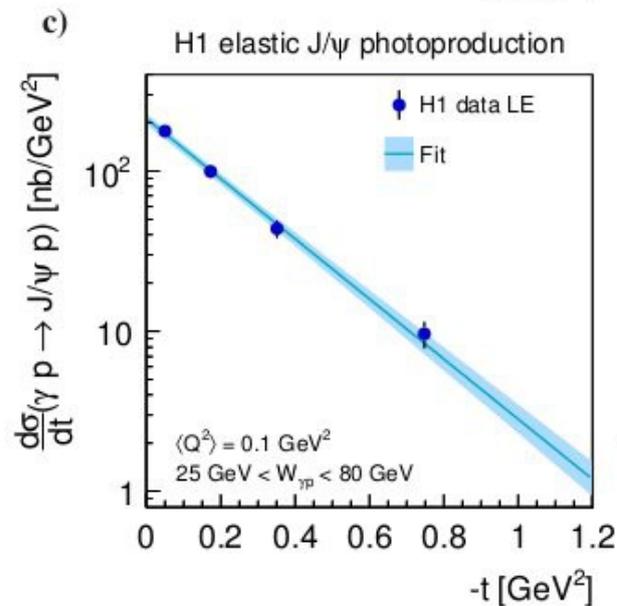
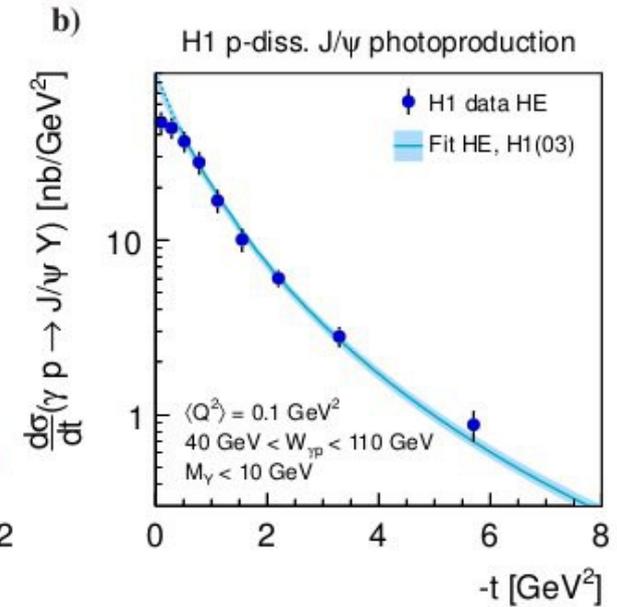
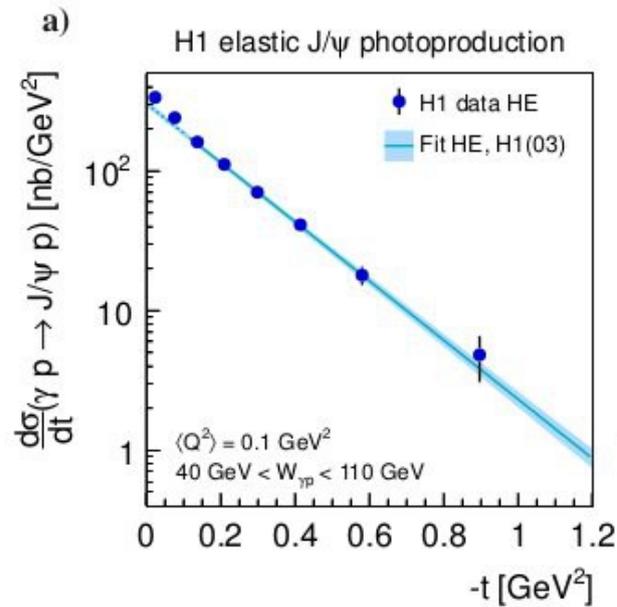
“p-diss.”:  $d\sigma/dt \sim (1+(b_{pd}/n)|t|)^{-n}$



$$b = 4.88 - 4.3 \pm 0.2 \text{ GeV}^2$$

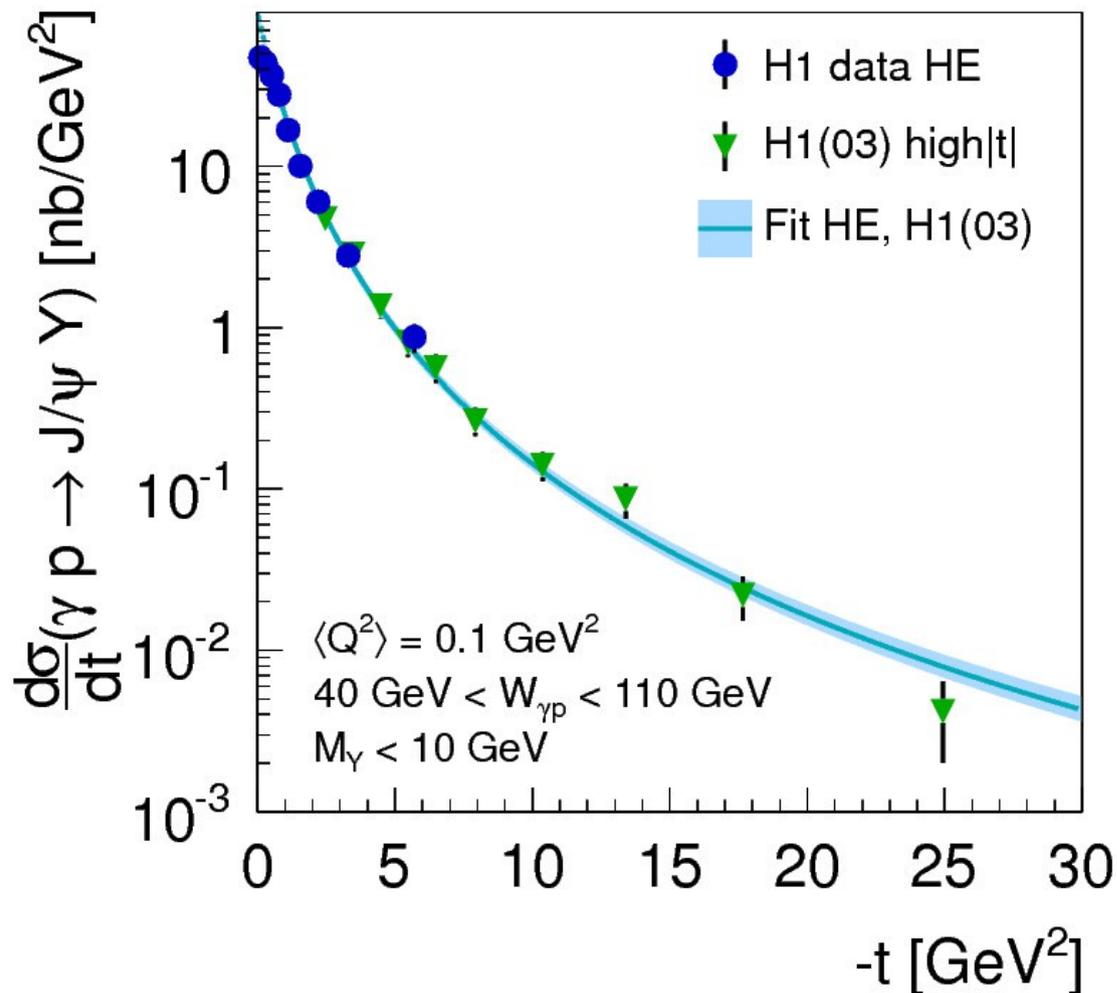
$$b_{pd} = 1.79 - 1.6 \pm 0.2 \text{ GeV}^2$$

$$n = 3.58 \pm 0.15$$



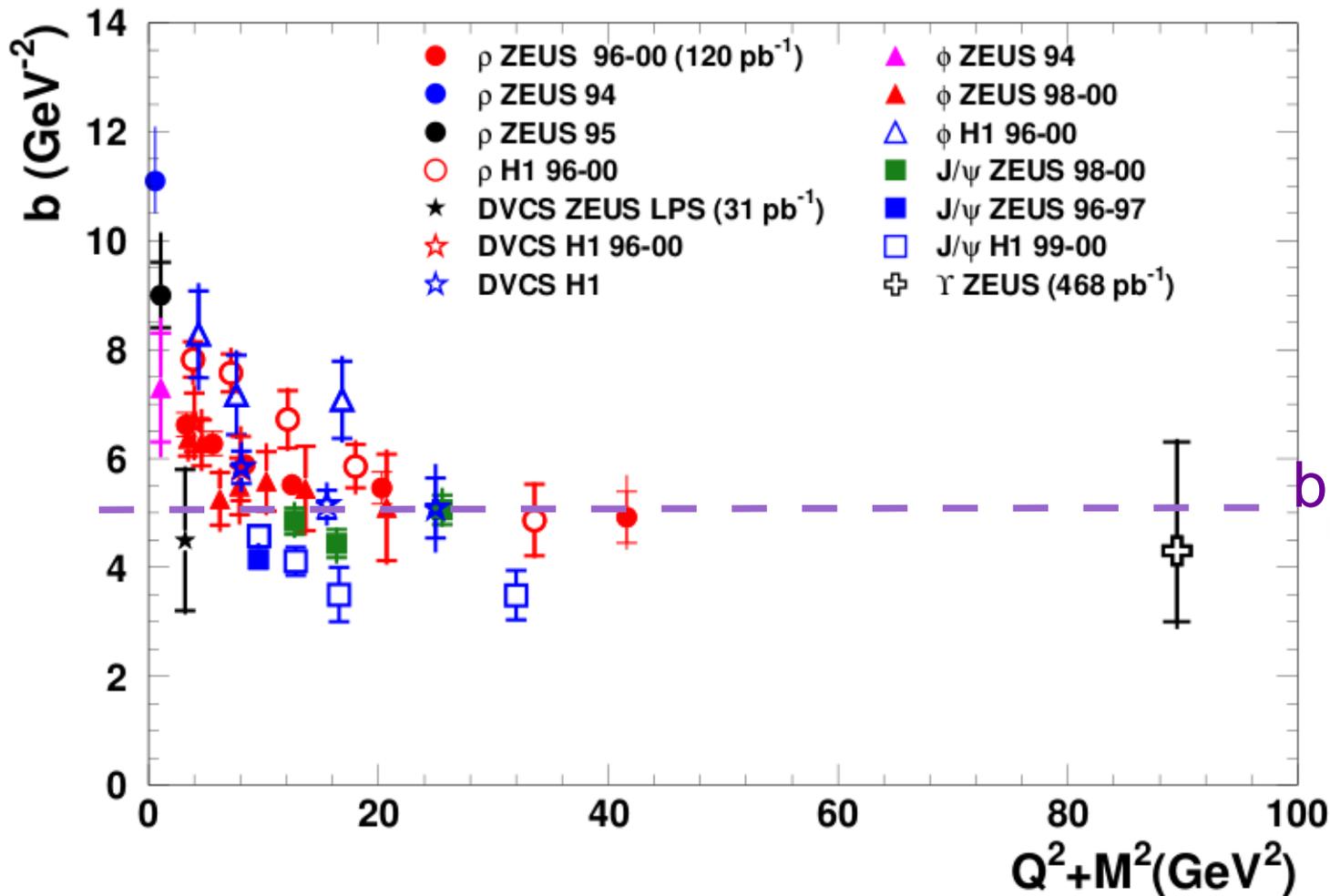
**t-dependence**

H1 p-diss. J/ψ photoproduction



- First measurement of p-diss. production at low  $|t|$ ,
- Previous H1(03) high  $|t|$  data extrapolated to present  $W$ ,  $Q^2$ ,  $M_Y$  range,
- Good agreement in the overlap region

## t-dependence compilation



$$d\sigma/dt \sim e^{-b|t|}$$

$$b = b_{VM} + b_p$$

$$\langle r^2 \rangle = b \cdot (hc)^2$$

Proton target:

$$b_p \approx 4.5 \text{ GeV}^{-2} \Rightarrow$$

$$\Rightarrow r_{\text{gluon}} \approx 0.6 \text{ fm}$$

$$< r_{\text{em}} \approx 0.8 \text{ fm}$$

Decreasing slope (and interaction size) with rising scale  $Q^2+M^2$  -  
- transition between “soft” and “hard” interaction

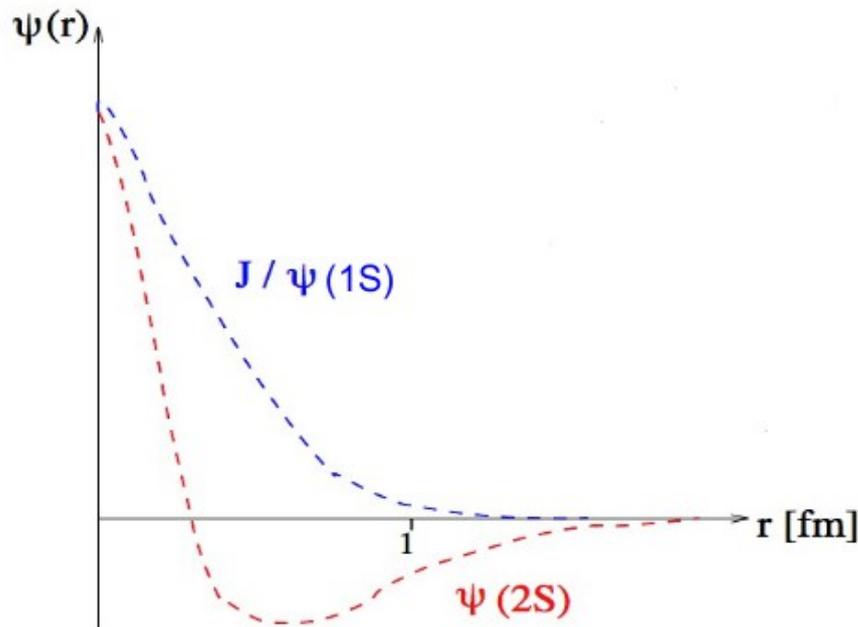
# $\Psi(2s)/\Psi(1s)$ ratios (1)

Ratio  $R = \sigma(\Psi(2s))/\sigma(J/\Psi(1s)) \Rightarrow$

$\Rightarrow$  sensitive to radial charmonium wave function...

$\Psi(2s)$  wave function  $\neq$   $J/\Psi(1s)$  w. f.: it has node at  $r \approx 0.4$  fm,

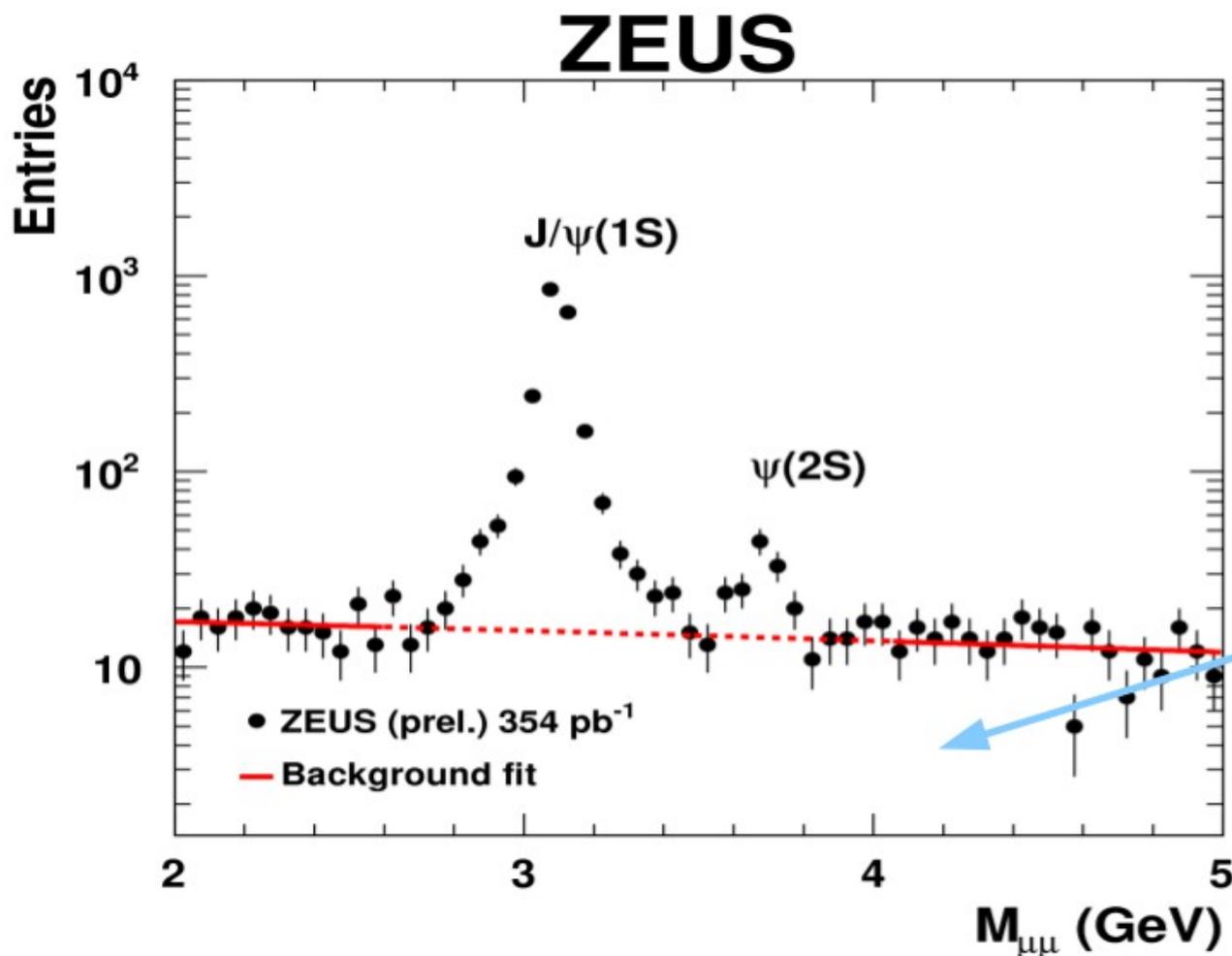
$$\langle r^2(\Psi(2s)) \rangle = 2 \langle r^2(J/\Psi(1s)) \rangle$$



pQCD prediction:  $R \approx 0.17$  ( $Q^2 = 0$ ) and rises with  $Q^2$   
(J. Nemchik et al., 1994, 1998)

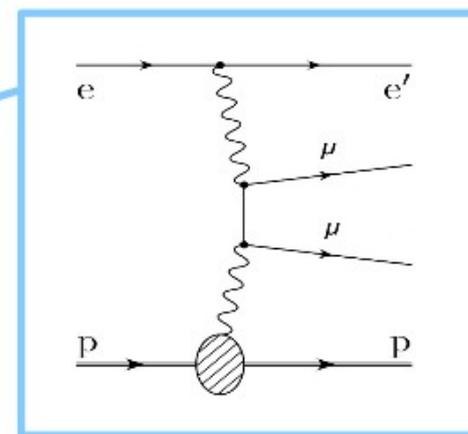
# $\Psi(2s)/\Psi(1s)$ ratios (2)

**ZEUS** preliminary, DIS2014: HERA II, int. lumi = 354 pb<sup>-1</sup>



J/ $\psi(1S)$   $\rightarrow$   $\mu^+\mu^-$

$\psi(2S)$   $\rightarrow$   $\mu^+\mu^-$

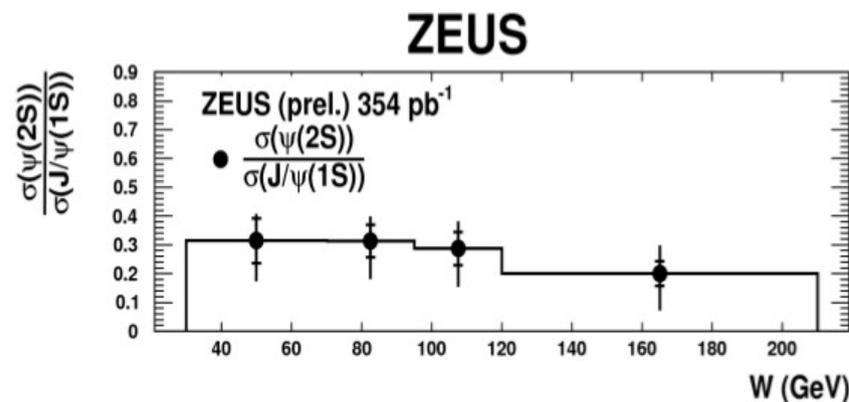
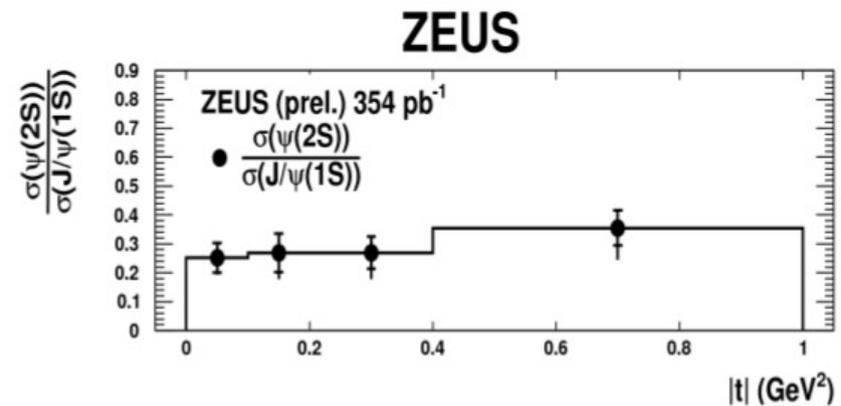
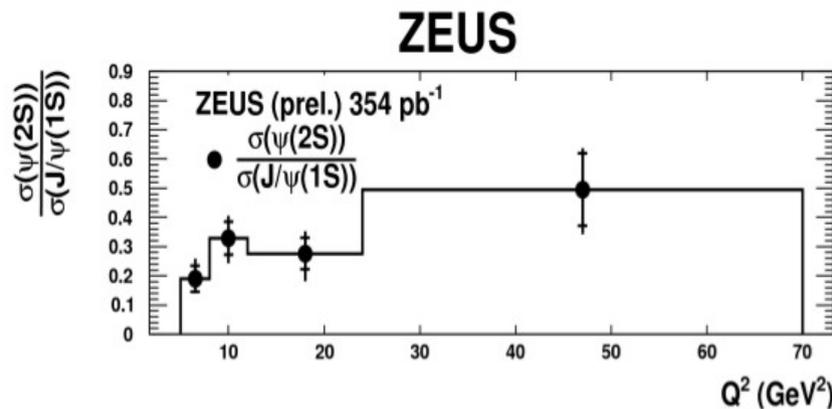


# $\Psi(2s)/\Psi(1s)$ ratios (3)

**ZEUS** preliminary DIS2014: HERA II, int. lumi = 354 pb<sup>-1</sup>

$\psi(2S)$ decay mode	$\sigma(\psi(2S))/\sigma(J/\psi(1S))$
$\rightarrow J/\psi(\rightarrow \mu^+\mu^-)\pi^+\pi^-$	$0.29 \pm 0.04^{+0.02}_{-0.01}$
$\rightarrow \mu^+\mu^-$	$0.25 \pm 0.05^{+0.04}_{-0.02}$
combined	$0.28 \pm 0.03^{+0.02}_{-0.01}$

$30 \leq W \leq 210$  GeV  
 $5 \leq Q^2 \leq 70$  GeV<sup>2</sup>  
 $|t| \leq 1$  GeV<sup>2</sup>



Ratio R =

$\sigma(\Psi(2s))/\sigma(J/\Psi(1s))$ :

- Increases with  $Q^2$ ,
- Independent of  $W$  and  $t$

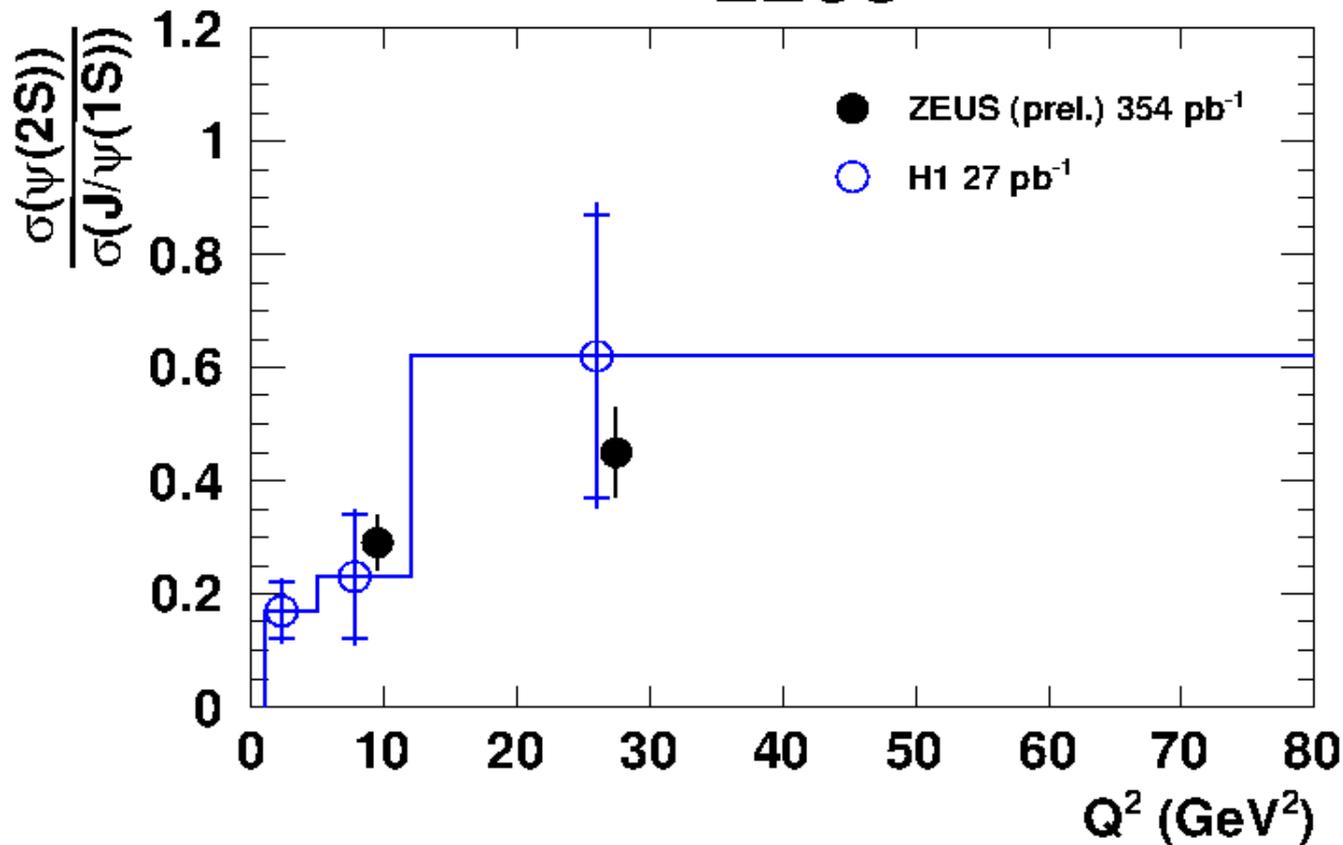
# $\Psi(2s)/\Psi(1s)$ ratios (4)

## ZEUS - H1 comparison:

- ZEUS data analysed in  $Q^2$  bins used by H1 ( $Q^2$ : 5 — 12 and 12 — 80  $\text{GeV}^2$ )

$40 < W < 180 \text{ GeV}$   
 $1 < Q^2 < 80 \text{ GeV}^2$

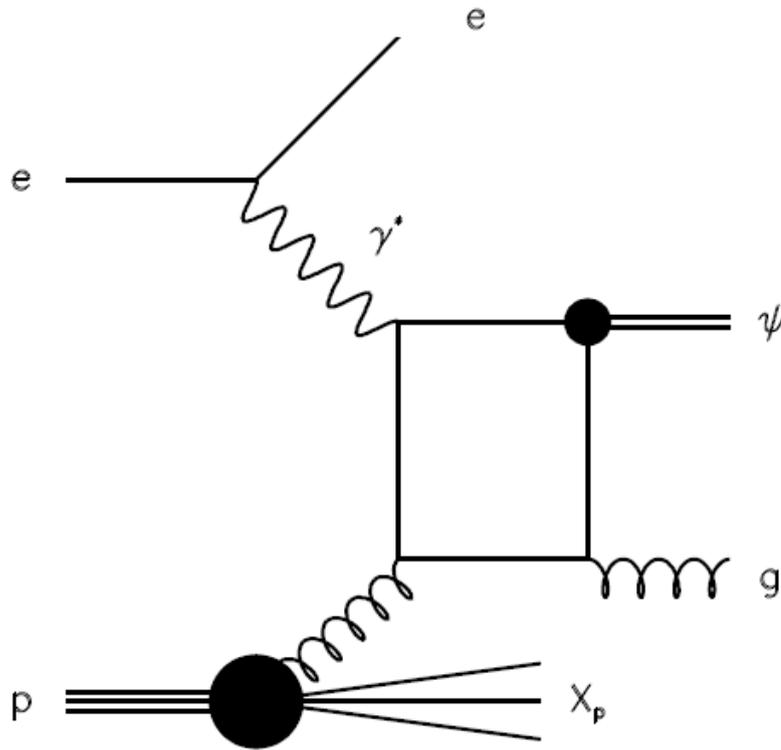
## ZEUS



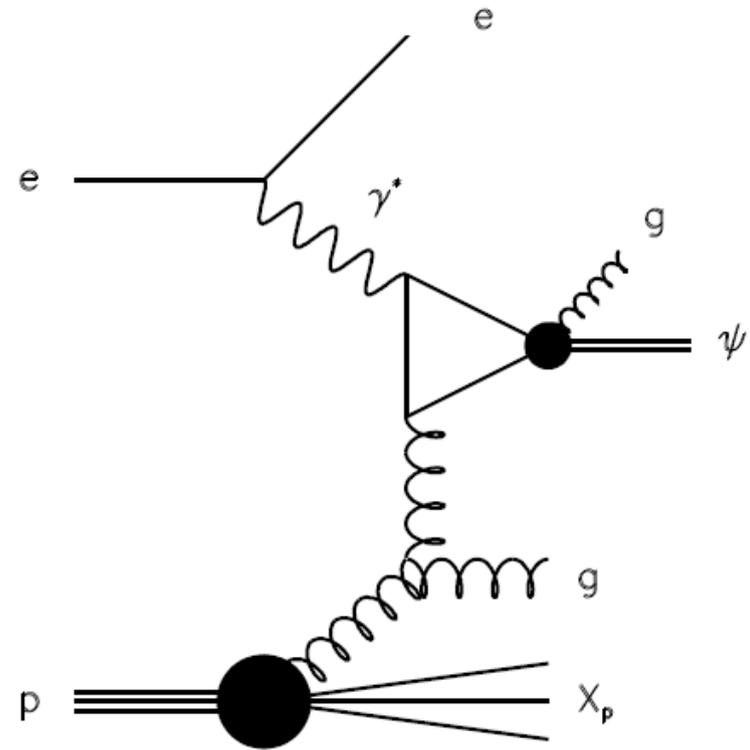
H1 study of  $\psi'$  in DIS

**Eur.Phys.J.C10:373-393,1999**

# Inelastic $\Psi$ and $\Psi'$ production (1)



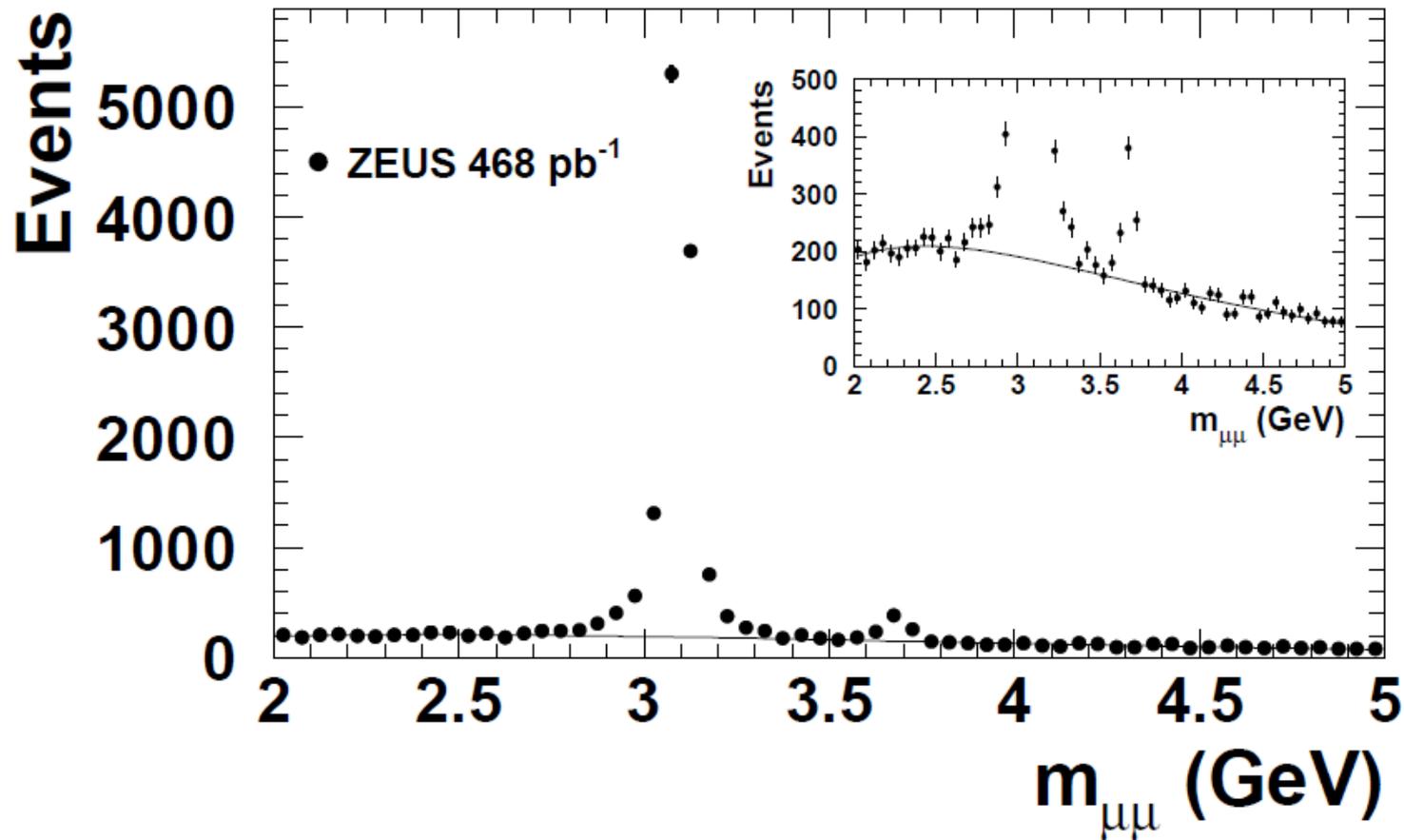
**Color Singlet model CS:**  
cc q.n. =  $J/\Psi$  q.n.



**Color Octet model CO:**  
cc q.n.  $\neq$   $J/\Psi$  q.n.

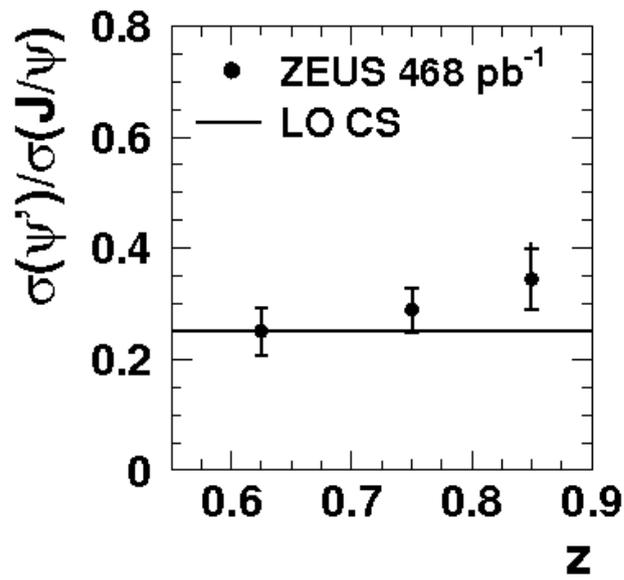
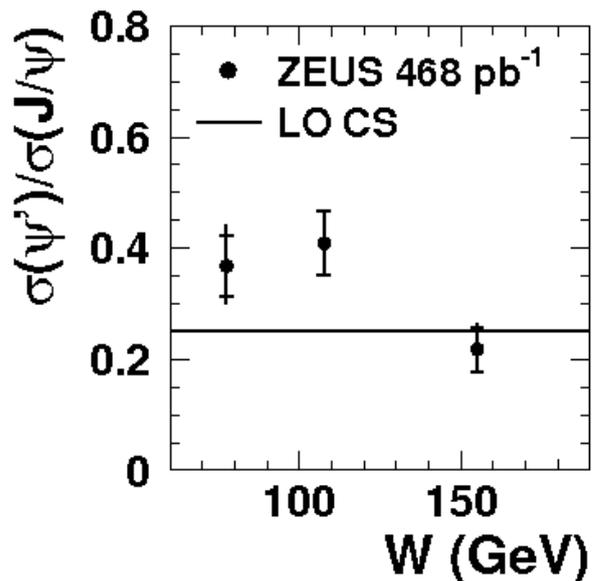
ZEUS, JHEP 02 (2013) 071

## ZEUS

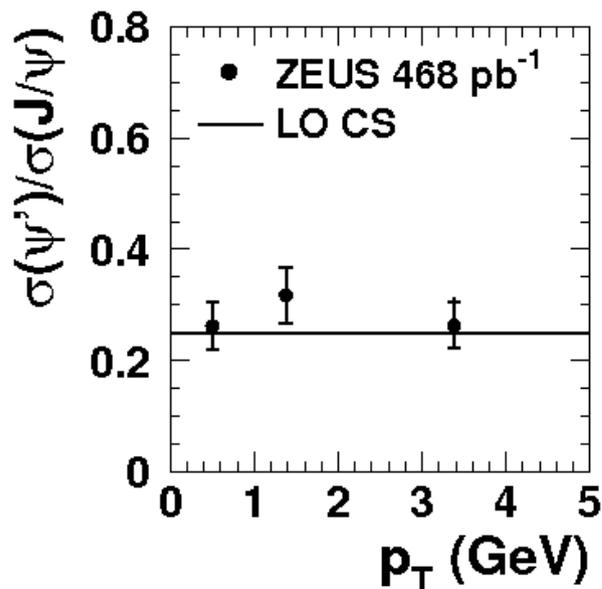


$60 < W < 240$  GeV

## ZEUS



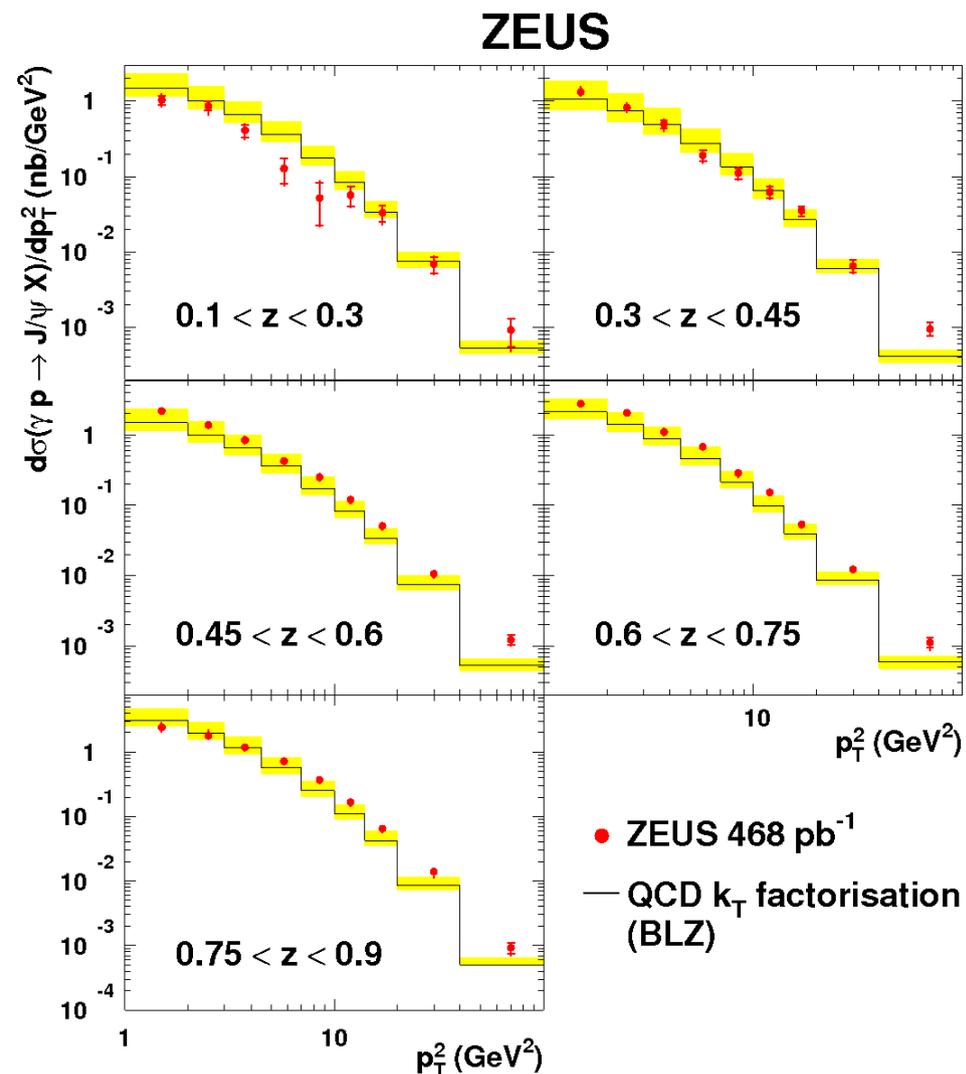
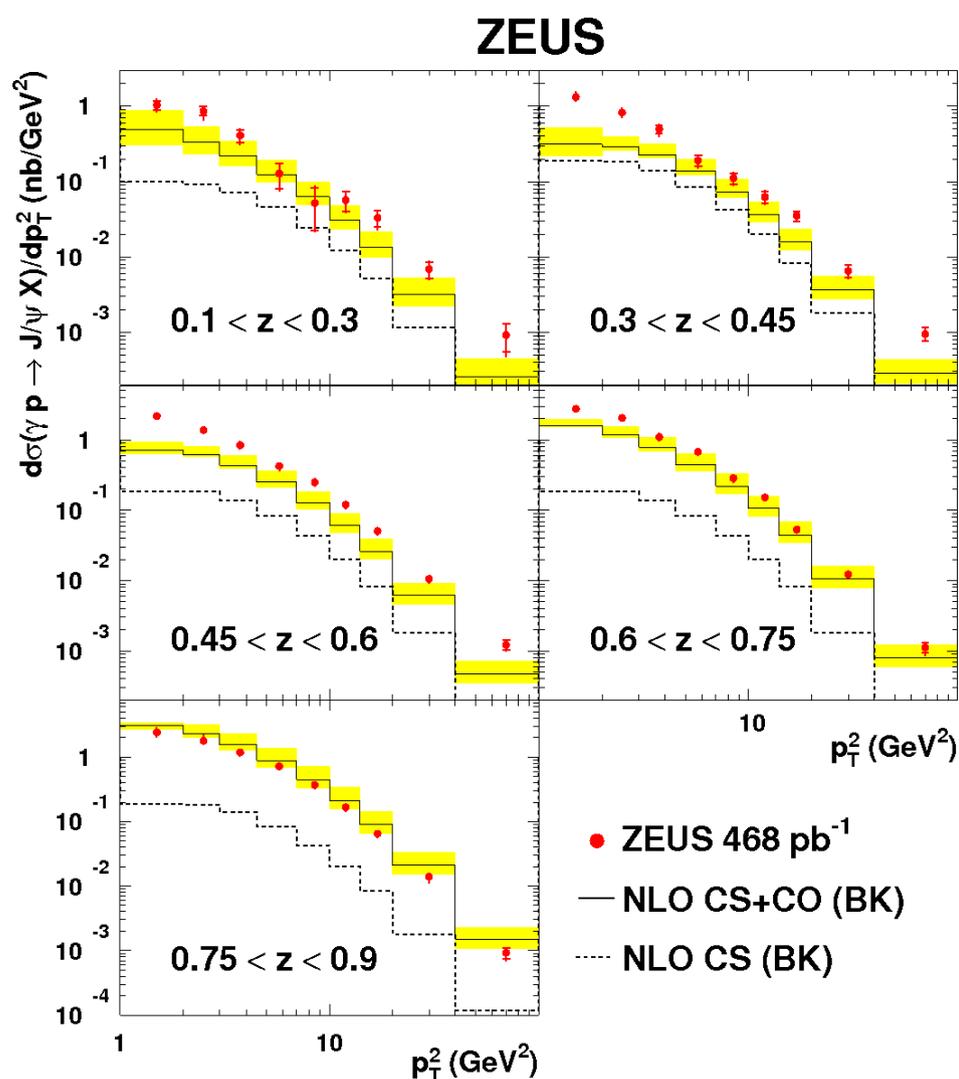
$z = E(\Psi)/E(\gamma^*)$   
(p rest frame)



$\psi(2s)/J/\psi$  cross section ratio vs  $W$ ,  $p_T$  and  $z$   
- consistent with being flat,

LO **CS** model expectation = 0.25 (horiz. line)

# Inelastic $\Psi$ and $\Psi'$ production (4)



Left **theory**: full **NLO** computation including **CS** and **CO** terms

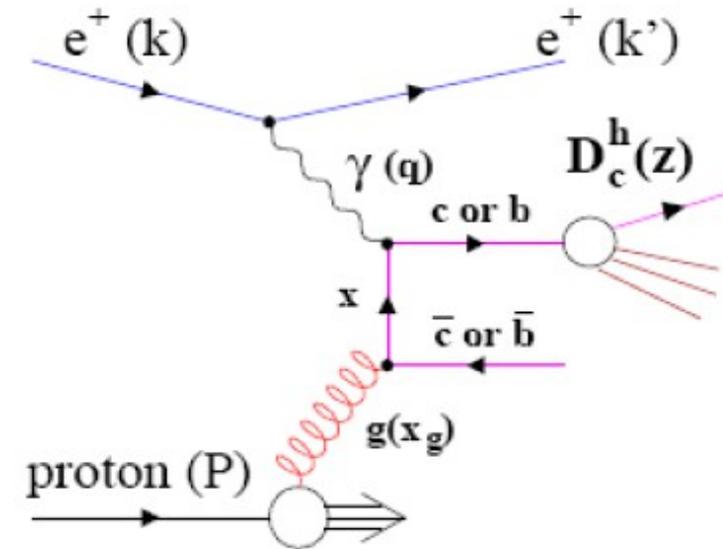
Right **theory**: **LO CS** model framework amended with non zero initial state gluons  $k_T$

=> better description of the data from  $k_T$  factorisation

# Open charm production (1)

$$D^+ = c\bar{d}, D^0 = c\bar{u}, \bar{D}^0 = \bar{c}u, D^- = \bar{c}d$$

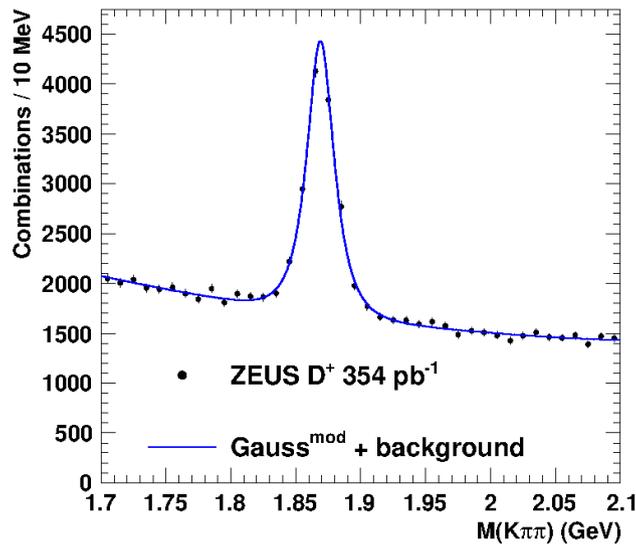
- Predominantly boson-gluon fusion
- Charm contribution to inclusive DIS at HERA up to 30%
- Multi-scale problem:  $Q^2, M_c^2, p_T^2$
- sensitive to c-quark mass...
- sensitive to gluon density in the proton (PDFs)
- Test of pQCD NLO calculations (various mass schemes!)
- Can extract charm contribution to proton structure function
- Charm quark hadronisation to charmed hadrons -
  - test of fragmentation universality



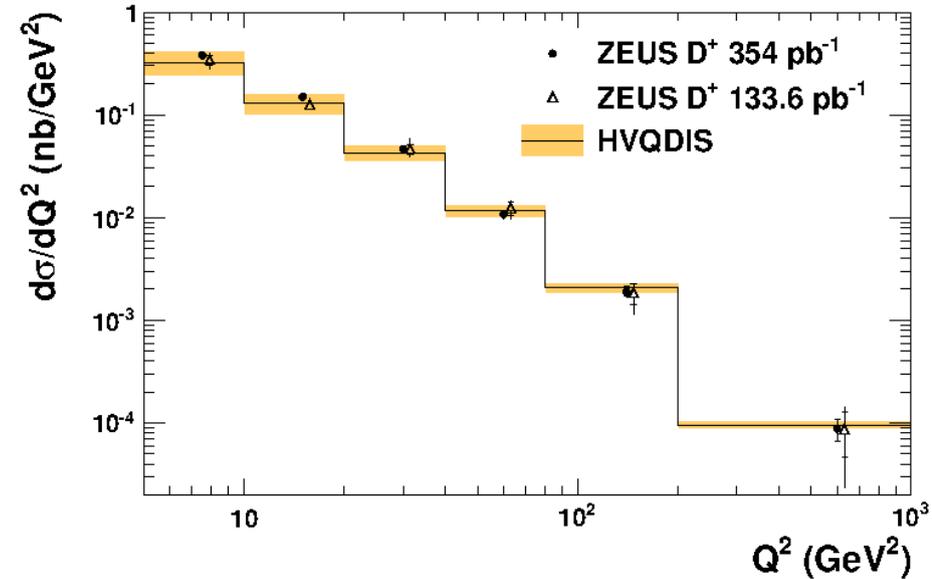
# Open charm production (2)

ZEUS,  $D^\pm$  production in DIS, JHEP 05 (2013) 023, HERA II, 354  $\text{pb}^{-1}$

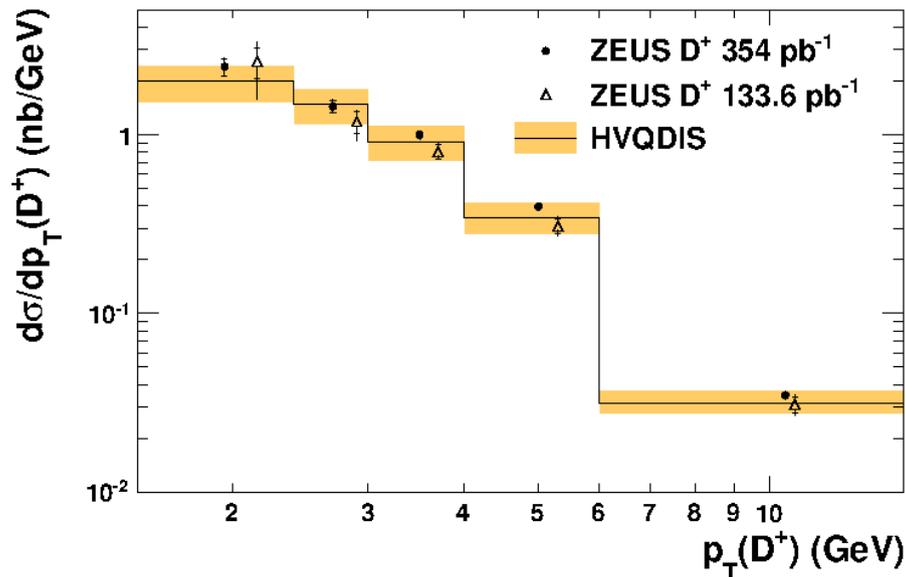
ZEUS



ZEUS



ZEUS



NLO QCD predictions (HVQDIS) based on FFNS mass scheme reproduce the data up to  $Q^2 = 1000 \text{ GeV}^2$

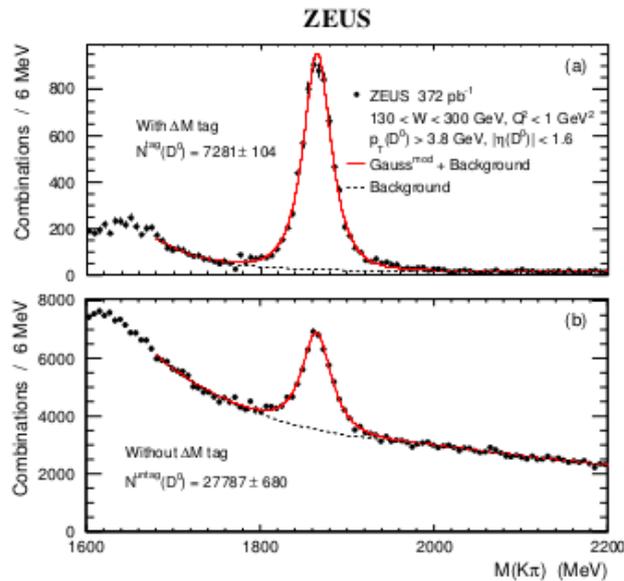
# Open charm production (3)

**ZEUS, Charm fragmentation fractions in PHP,**  
**JHEP 09 (2013) 058, HERA II, 372 pb<sup>-1</sup>, 130 < W 300 GeV**

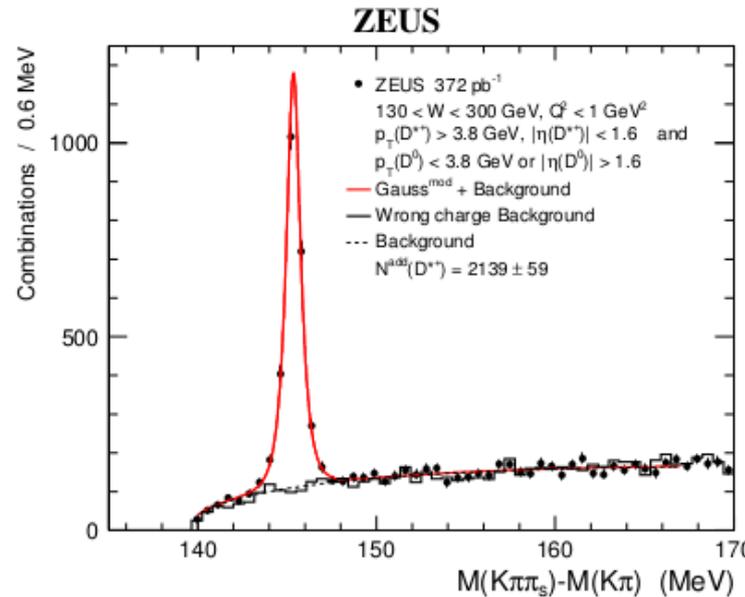
$$D^0 \rightarrow K^- \pi^+, \quad D^+ \rightarrow K^- \pi^+ \pi^+$$

$$D^{*+} \rightarrow D^0 \pi_s^+ \rightarrow K^- \pi^+ \pi_s^+$$

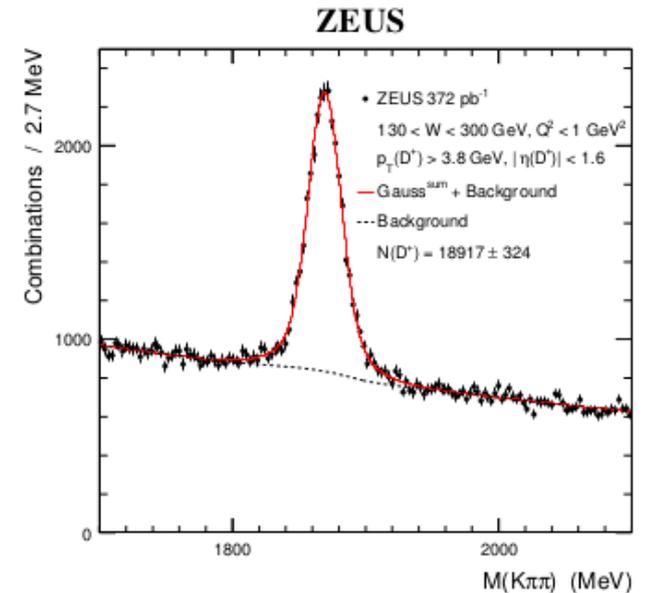
$$D_s^+ \rightarrow \phi \pi^+, \quad \Lambda_c^+ \rightarrow K^- p \pi^+$$



$$M(K^- \pi^+)$$



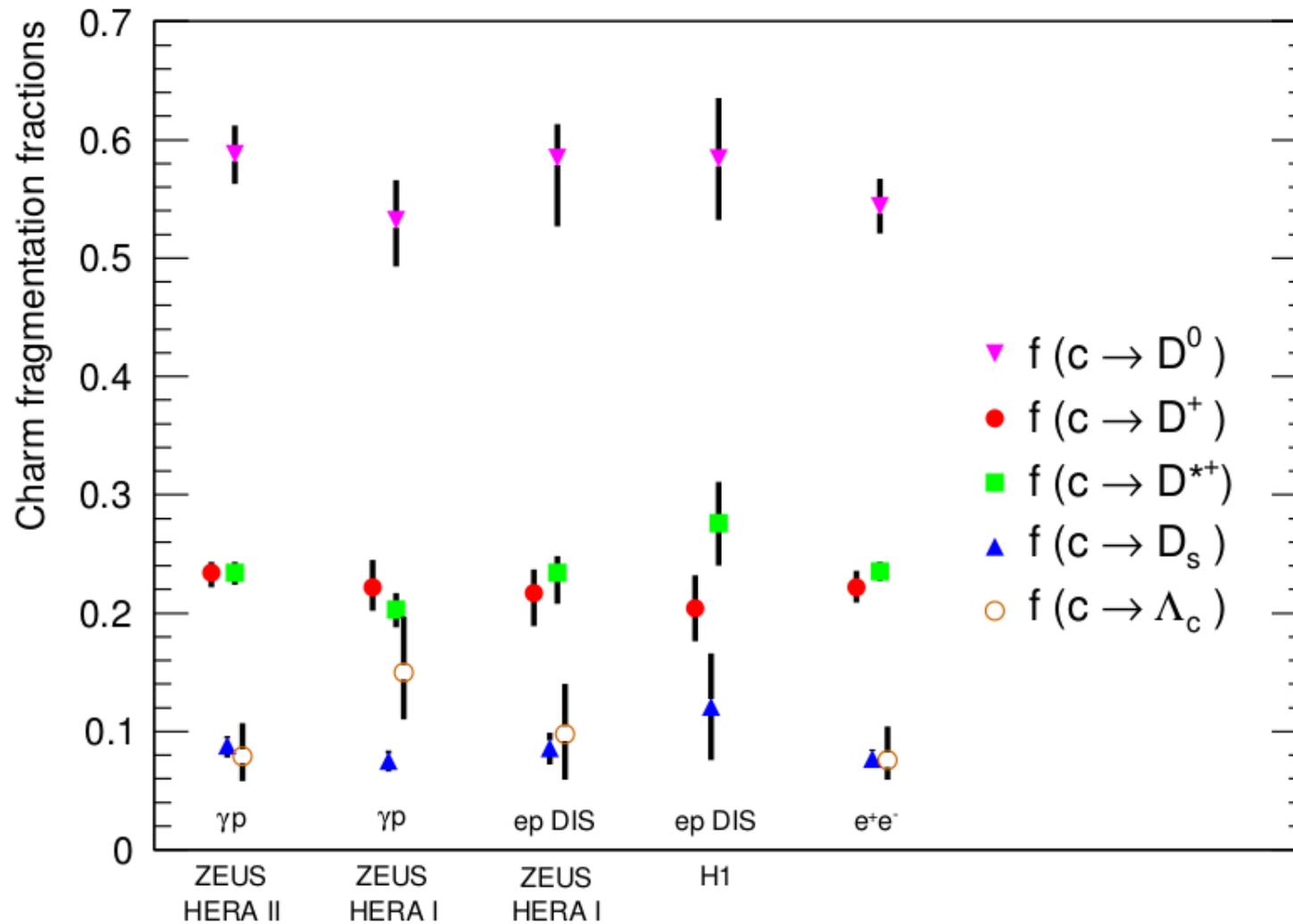
$$M(K^- \pi^+ \pi_s^+) - M(K^- \pi^+)$$



$$M(K^- \pi^+ \pi^+)$$

# Open charm production (4)

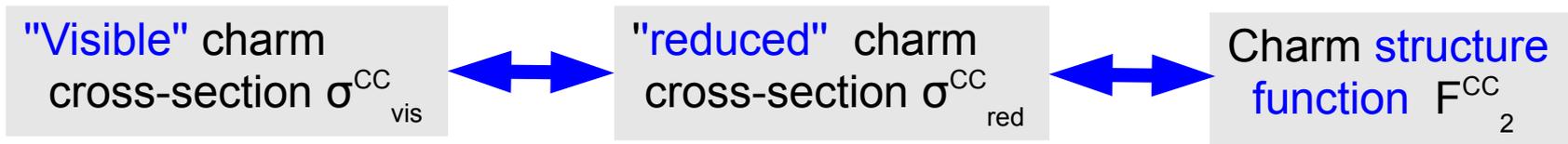
**ZEUS, Charm fragmentation fractions in PHP,**  
JHEP **09** (2013) 058, HERA II, 372 pb<sup>-1</sup>, 130 < W 300 GeV



ep PHP, ep DIS and e<sup>+</sup>e<sup>-</sup> agree => universality of heavy quark fragmentation!

# Open charm production (5)

**H1 + ZEUS: HERA charm data combination in DIS, EPJ C73 (2013) 2311**  
**Charm structure functions etc.**



(NLO pQCD model dependent!)

$$\frac{d^2\sigma^{c\bar{c}}}{dx dQ^2} = \frac{2\pi\alpha^2(Q^2)}{xQ^4} ([1 + (1 - y)^2] F_2^{c\bar{c}}(x, Q^2) - y^2 F_L^{c\bar{c}}(x, Q^2)).$$

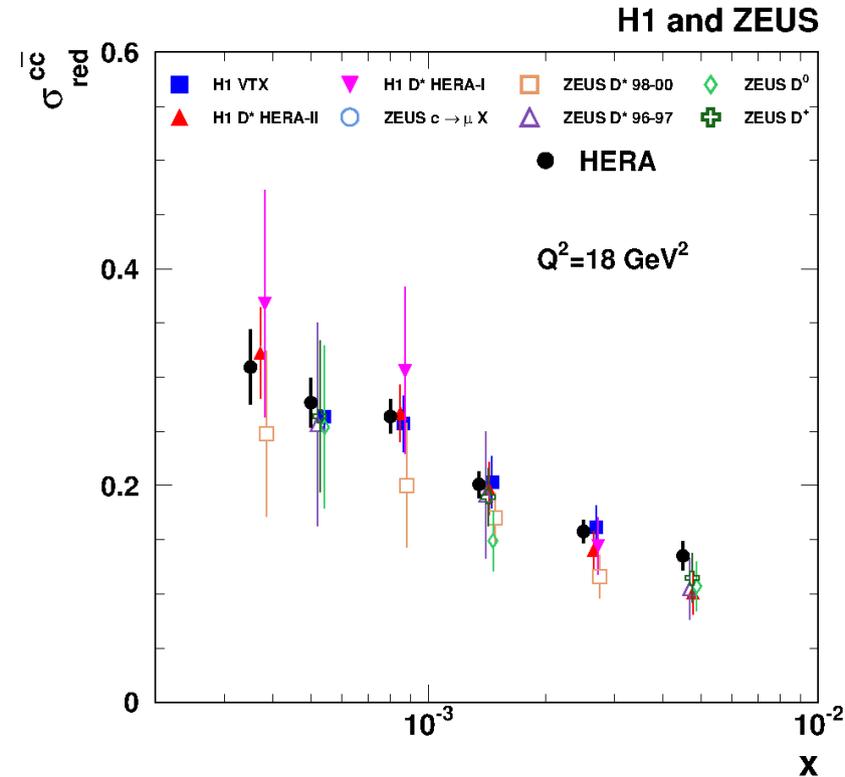
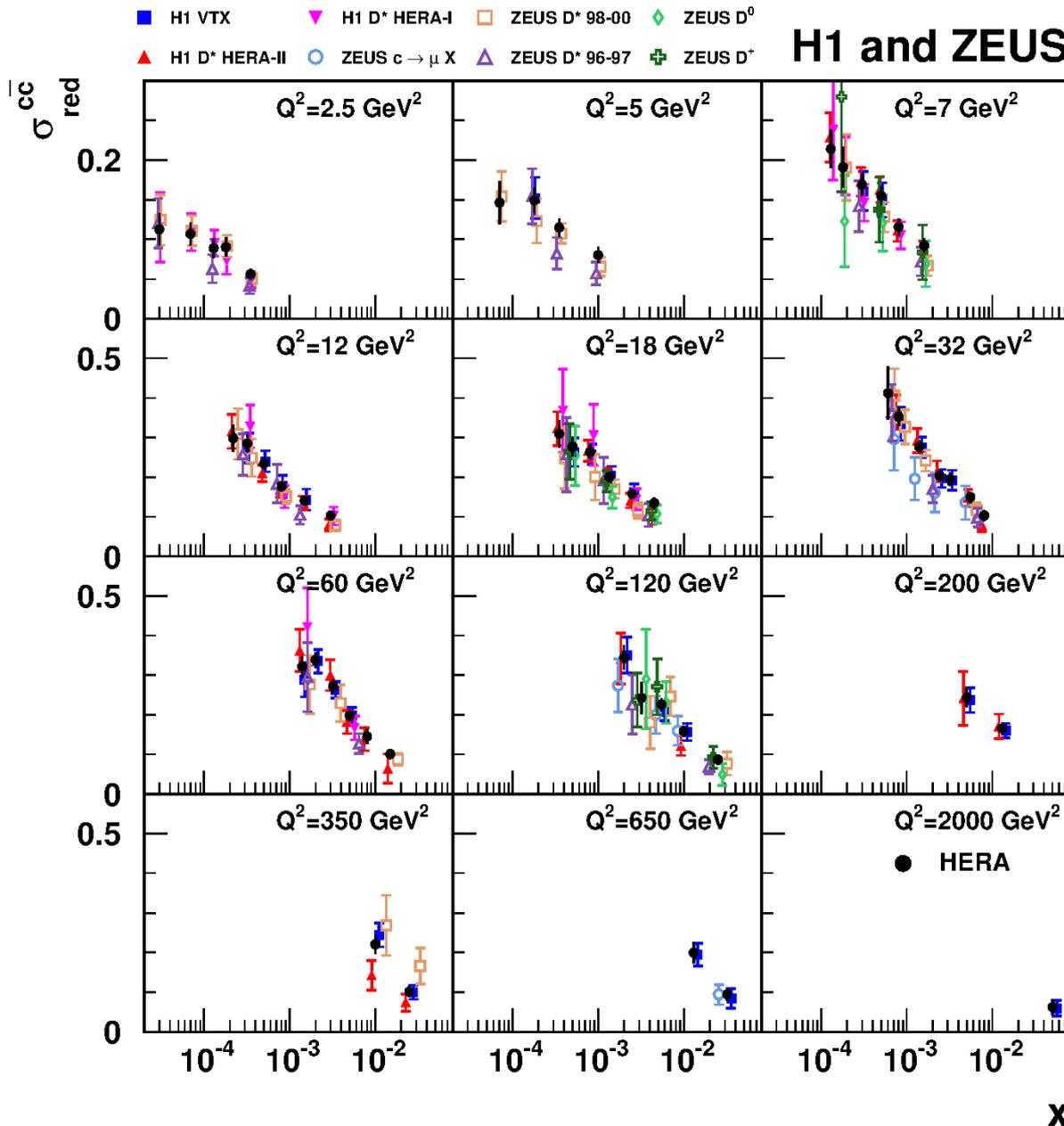
$$\begin{aligned} \sigma_{\text{red}}^{c\bar{c}} &= \frac{d^2\sigma^{c\bar{c}}}{dx dQ^2} \cdot \frac{xQ^4}{2\pi\alpha^2(Q^2) (1 + (1 - y)^2)} \\ &= F_2^{c\bar{c}} - \frac{y^2}{1 + (1 - y)^2} F_L^{c\bar{c}}. \end{aligned}$$

$$\sigma_{\text{red}}^{c\bar{c}}(x, Q^2) = \sigma_{\text{vis,bin}} \frac{\sigma_{\text{red}}^{c\bar{c},\text{th}}(x, Q^2)}{\sigma_{\text{vis,bin}}^{\text{th}}}.$$

# Open charm production (6)

H1 + ZEUS: HERA charm data combination in DIS, EPJ C73 (2013) 2311

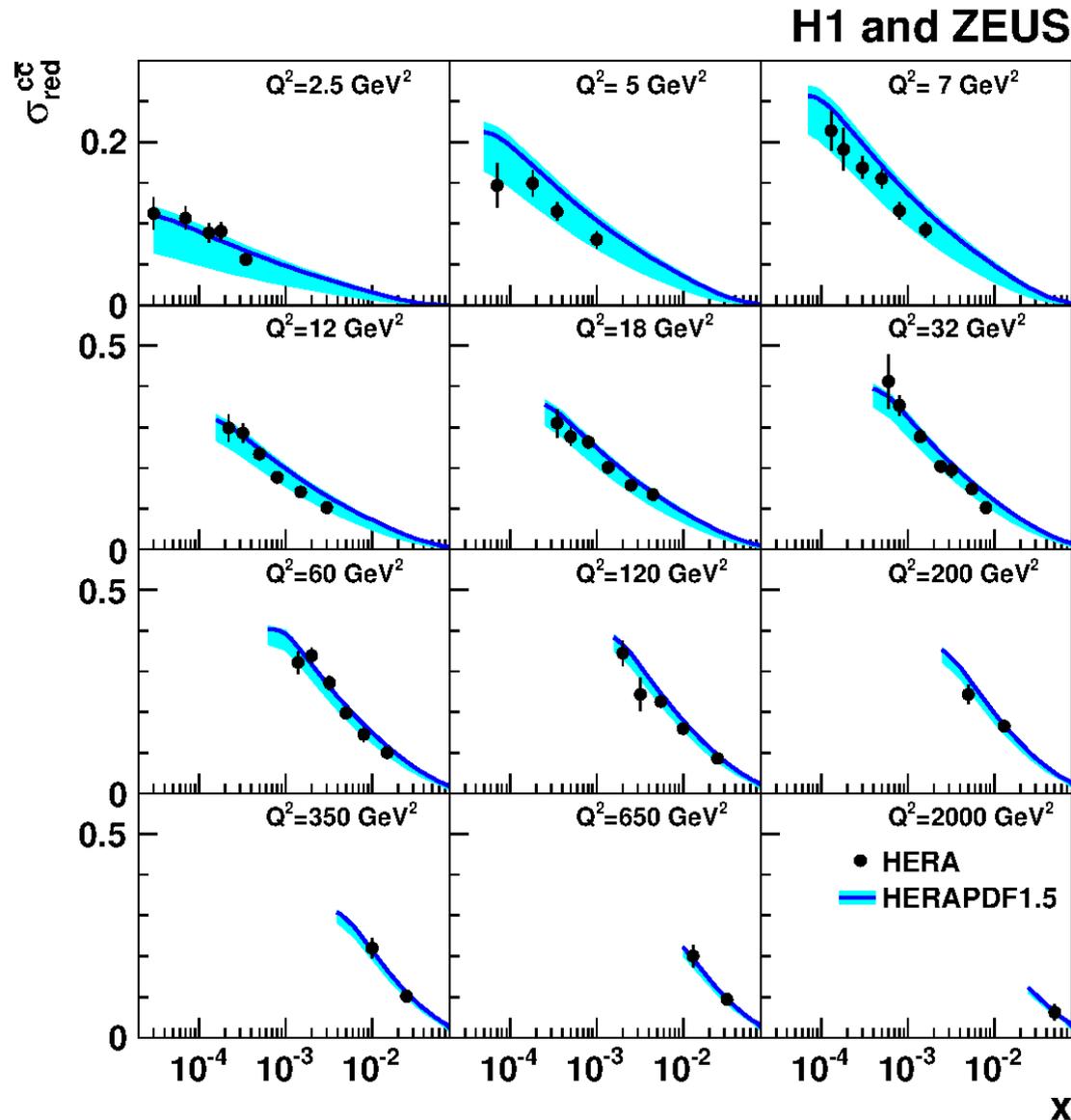
H1 and ZEUS



Combined results uncertainty  
~2 times lower than for each  
most precise data set...

# Open charm production (7)

H1 + ZEUS: HERA charm data combination in DIS, EPJ C73 (2013) 2311



NLO prediction based on  
inclusive HERAPDF1.5  
describes the charm data well...

# Summary

- HERA was heavy meson factory: only selected, recent results were presented
- Diffractive and inelastic charmonium production: – semi-quantitative success of perturbative QCD
- Heavy flavour mesons – perturbative QCD at work
- Charm contribution to proton structure well understood within pQCD framework
- Quality and amount of HERA data – challenge for pQCD calculations