

Search for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay at NA62 experiment

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for the NA62 collaboration

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Outlook

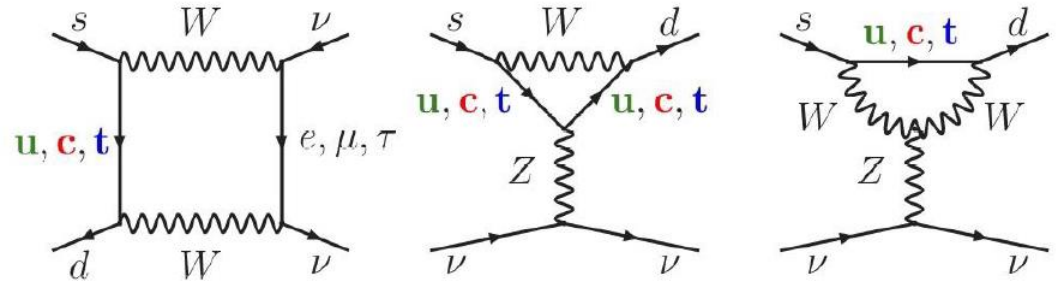
1. Motivation
2. NA62 experiment
3. Event selection
4. NA62 detectors
5. First look at the data
6. Conclusions

K → πνν in SM

2 modes: charged, neutral

- FCNC loop process
- Theoretically clean
- CKM suppression: $\text{BR} \sim |V_{ts}^* V_{td}|^2$

SM one-loop diagrams: box and penguins



Hadronic matrix element extracted from well-known decay $K^+ \rightarrow e^+ \nu \pi^0$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ \left[\left(\frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2 + \left(\frac{\text{Re } \lambda_t}{\lambda^5} X(x_t) + \frac{\text{Re } \lambda_c}{\lambda} P_c(X) \right)^2 \right] (1 + \Delta_{EM})$$

$$\text{BR}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \left(\frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2$$

Annotations:

- Top contribution (dominant) points to $\frac{\text{Im } \lambda_t}{\lambda^5} X(x_t)$ in both formulas.
- charm contribution points to $\frac{\text{Re } \lambda_c}{\lambda} P_c(X)$ in the first formula.
- EM radiative correction points to $(1 + \Delta_{EM})$ in the first formula.

$$\begin{aligned} \lambda &= V_{us} \\ \lambda_c &= V_{cs}^* V_{cd} \\ \lambda_t &= V_{ts}^* V_{td} \\ x_q &\equiv m_q^2 / m_W^2 \end{aligned}$$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (9.11 \pm 0.72) \times 10^{-11}$$

$$\text{BR}(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.00 \pm 0.30) \times 10^{-11}$$

$K \rightarrow \pi \nu \bar{\nu}$ in New Physics

A. Buras et al
arXiv: 1408.0728

Searches for NP in $K \rightarrow \pi \nu \bar{\nu}$:

- Complementary to LHC
- Several scenarios possible
- Measurements of charged and neutral mode will allow to discriminate between NP scenarios

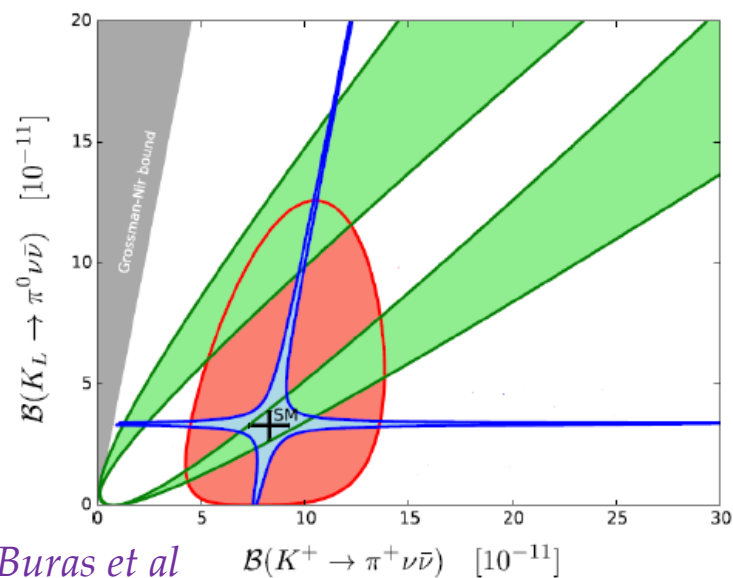
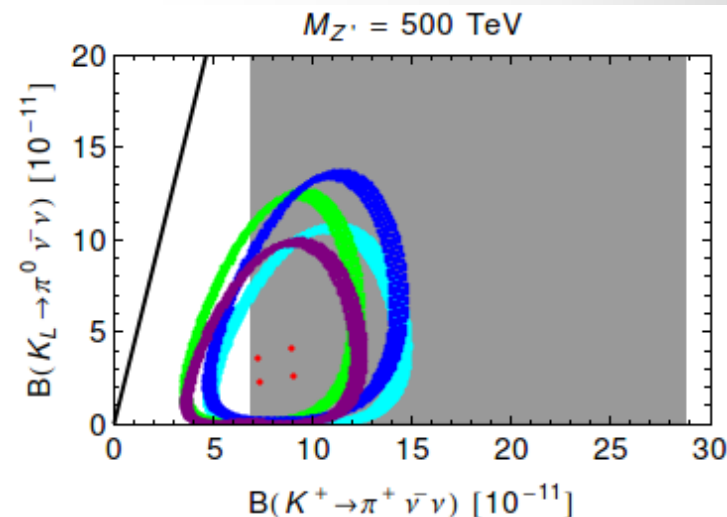
Grey: ruled out

SM: Standard Model

Red: custodial Randall-Sundrum

green: minimal flavor violation models

Blue: models with new flavour and CP-violating interactions



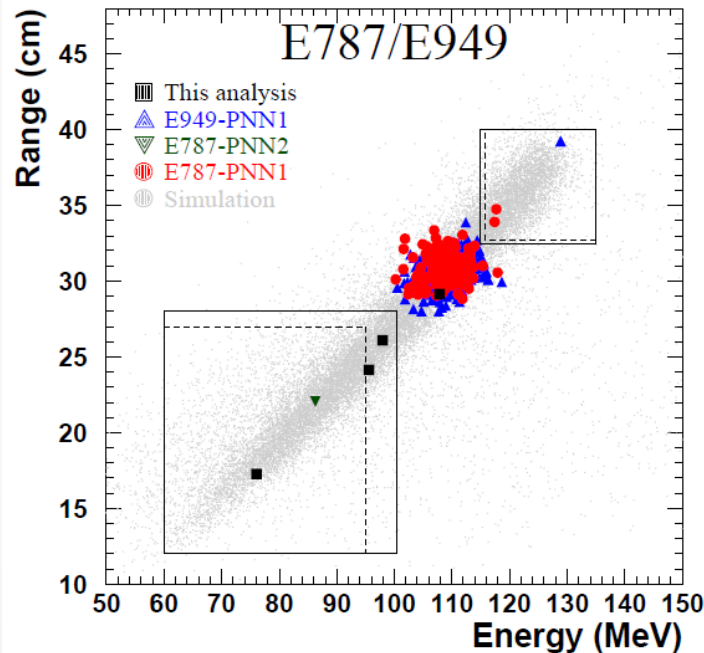
A. J. Buras et al
arXiv: 1507.08672

$K \rightarrow \pi \nu \bar{\nu}$ in experimental physics

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$

E787/E949 experiment @ BNL

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

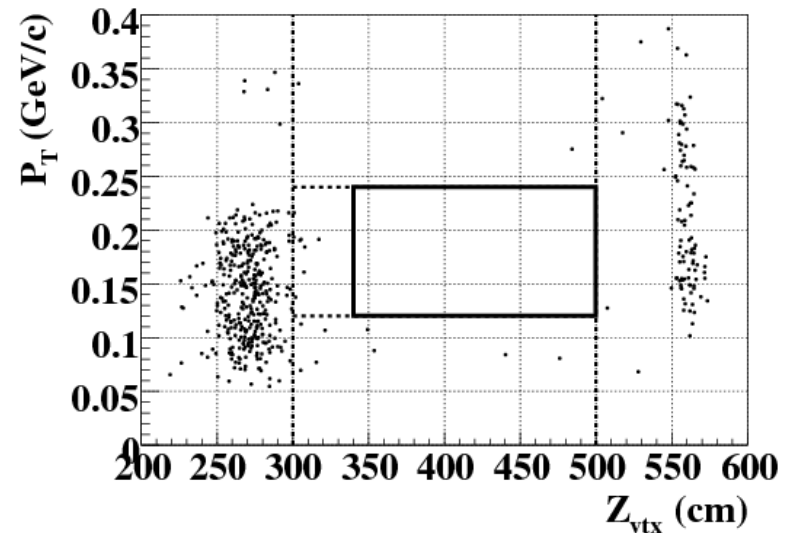


Phys. Rev. D 77, 052003 (2008), Phys. Rev. D 79, 092004 (2009)

$K^0 \rightarrow \pi^0 \nu \bar{\nu}$

E391 experiment @ KEK

$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \times 10^{-8}$$



Phys. Rev. D 81, 072004 (2010)

NA62 goals

Main goal:

- Collect $O(100)$ signal events in 2 years
- Measure $BR(K^+ \rightarrow \pi^+ \nu \nu)$ with 10% precision

Further goals:

- Measure $|V_{td}|$ with $\sim 10\%$ accuracy
- Probe several NP scenarios in $K^+ \rightarrow \pi^+ \nu \nu$
- Probe NP in similar processes (e.g. $K^+ \rightarrow \pi^+ X$)

Beyond the baseline:

- LFV/LNV decays with 3 tracks in the final state
- Heavy neutrino searches
- π^0 decays
- Dark photon searches (see **talk by Evgueni Goudzovski** today)

NA62 @ SPS CERN



NA62 prehistory:

- 1997-2001: NA48 (ϵ'/ϵ in K^0)
- 2002: NA48/1 (K_S rare decays)
- 2003-2004: NA48/2 ($K^\pm$ decays)
- 2007-2008: NA62-RK ($K_{e2}/K_{\mu 2}$)

NA62 history:

2006: Proposal

2007-2011: R&D

2011-2014: construction & installation

2012: Technical run (partial layout)

2014: Pilot physics run (full layout)

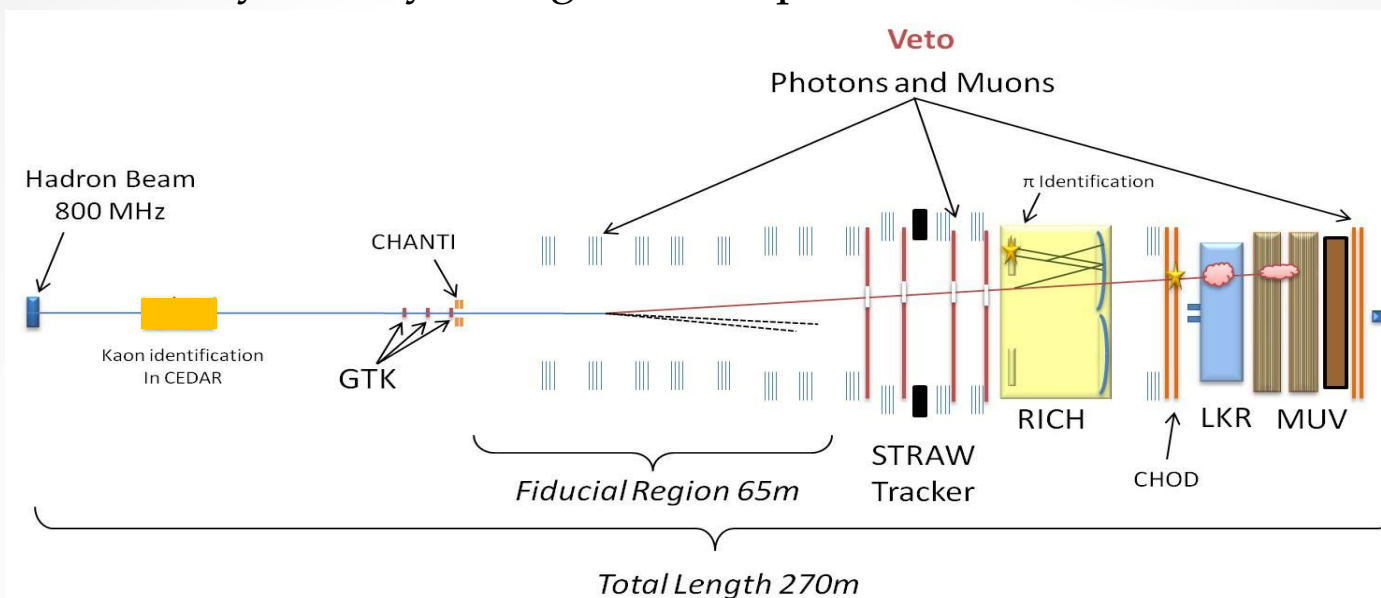
2015-2018: physics runs

NA62 collaboration:

Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna, Fairfax, Ferrara, Florence, Frascati, Glasgow, Liverpool, Louvain, Mainz, Merced, Moscow, Naples, Perugia, Pisa, Prague, Protvino, Rome I, Rome II, San Luis Potosí, SLAC, Sofia, TRIUMF, Turin, Vancouver

NA62 detector

NA62: kaon decays, decay-in-flight technique



From SPS to NA62:

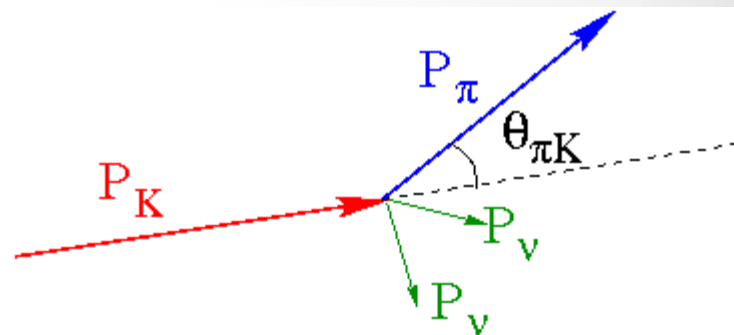
- ✓ SPS primary beam: 400 GeV/c, $\sim 1.1 \cdot 10^{12}$ protons/s on target
- ✓ Secondary hadron beam: 75 GeV/c, $\sim 6\%$ of K^+
- ✓ $\sim 4.8 \cdot 10^{12}$ kaon decays per year (~ 10 MHz event rate downstream)
- ✓ $\sim 10\%$ signal efficiency
- ✓ ~ 50 signal events per year

Signal vs background

Main kinematical variable for the signal:

$$m_{\text{miss}}^2 = (P_K - P_\pi)^2$$

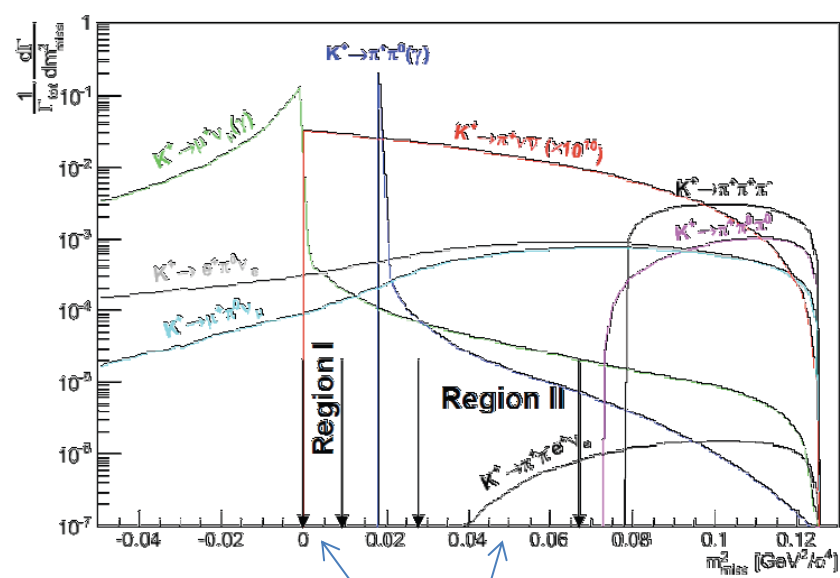
$$m_{miss}^2 \cong m_K^2 \left(1 - \frac{|P_\pi|}{|P_K|} \right) + m_\pi^2 \left(1 - \frac{|P_K|}{|P_\pi|} \right) - |P_K| |P_\pi| \theta_{\pi K}^2$$



Decay backgrounds		
Mode		BR
$\mu^+\nu(\gamma)$	$K_{\mu 2}$	63.5%
$\pi^+\pi^0(\gamma)$	$K_{2\pi}$	20.7%
$\pi^+\pi^+\pi^-$	$K_{3\pi}$	5.6%
$\pi^0e^+\nu$	K_{e3}	5.1%
$\pi^0\mu^+\nu$	$K_{\mu 3}$	3.3%
$\pi^+\pi^-e^+\nu$	$K_{e4}(+-)$	4.1×10^{-5}
$\pi^0\pi^0e^+\nu$	$K_{e4}(00)$	2.2×10^{-5}
$\pi^+\pi^-\mu^+\nu$	$K_{\mu 4}$	1.4×10^{-5}
$e^+\nu(\gamma)$	K_{e2}	1.5×10^{-5}

Other background:

- Accidental tracks in time with kaon tracks
- Beam-gas and upstream interactions



2 signal regions defined

Event selection principles

Kaon detection	t_K	p_K	PID	K interactions
KTAG	✓		✓	
GTK	✓	✓		
CHANTI				✓

veto:

- upstream veto (CHANTI)
- photon vetoes (LAV/LKr/IRC/SAC)
- muon veto (MUV3)

Pion detection	t_π	p_π	PID	π interactions
Spectrometer		✓		
RICH	✓		✓	
CHOD	✓			✓
MUV1,2			✓	

Event reconstruction:

- 1 pion track
- K- π track matching (fast timing)
- Cuts on m^2_{miss} (Region I and II)
- Cut on P_π : $15 < P_\pi < 35 \text{ GeV}/c$
($> 40 \text{ GeV}$ missing energy)

L0 trigger:

- 1 secondary charged track
- No muons among decay products
- “pion-like” energy deposition in calorimeters
- Precise time from RICH

Gigatracker

Si pixel detectors:

- 60 mm x 30mm sensitive area
- Si pixel detectors $300 \times 300 \mu\text{m}^2$
- 18000 pixels per station
- 10 readout chips
- $X/X_0 < 0.5\%$ per station

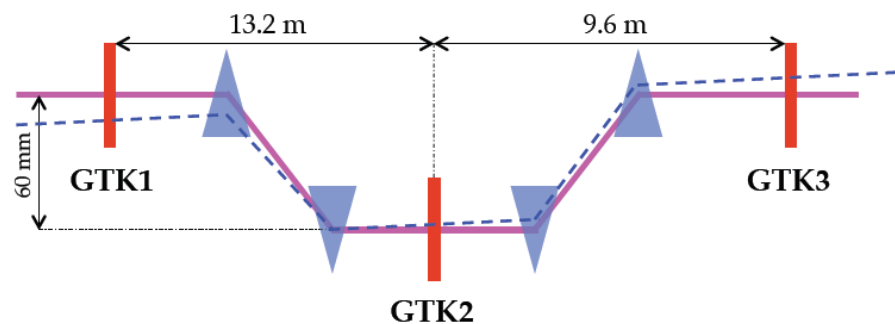
Performance:

- Time: $\sim 200\text{ps}$ per station
- Direction: $dx/dz \sim dy/dz \sim 16\mu\text{rad}$
- Momentum: $dP/P < 0.4\%$

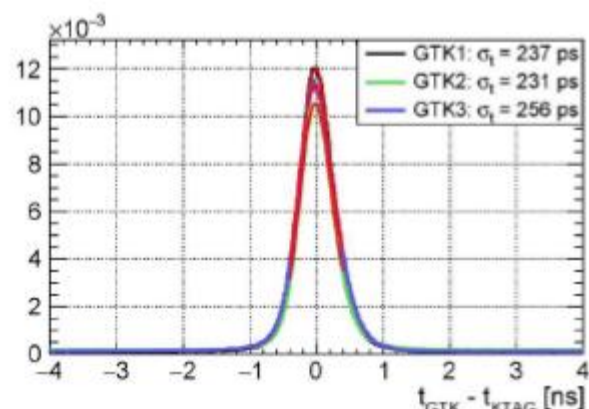
2015 results:

- Time: $\sim 250\text{ps}$ per station
- Direction: $dx/dz \sim dy/dz \sim 16\mu\text{rad}$

3 stations and **4 achromat magnets**
operating inside the beam pipe



2015 time resolution (partial readout)



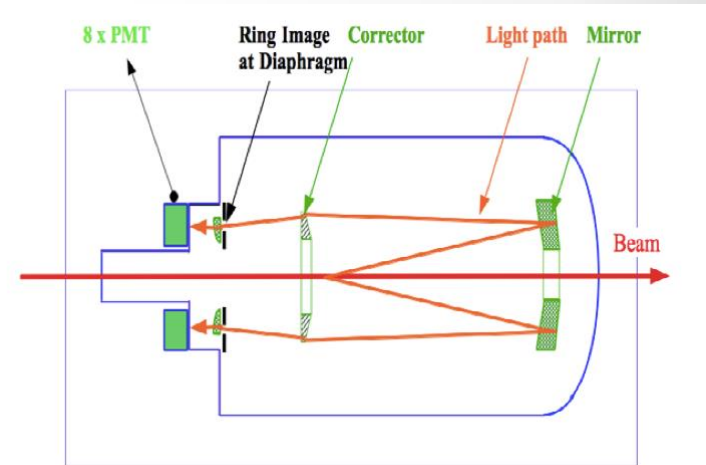
Status: partially commissioned

KTAG

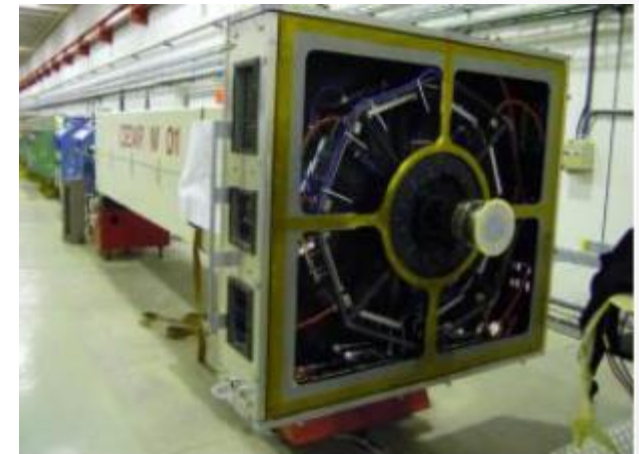
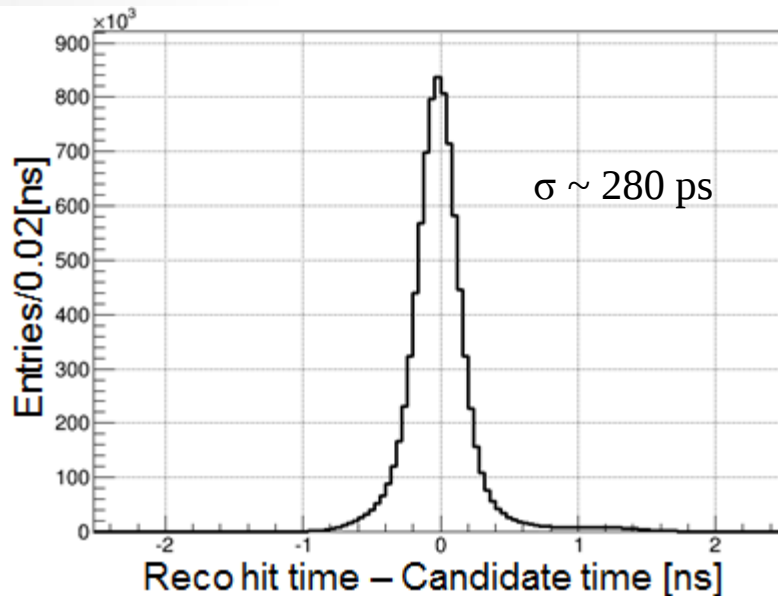
- Old: Cherenkov counter CEDAR
- N₂ or H₂ inside CEDAR
- New: external optics, PMs, front-end, readout
- 8 PM stations

Performance:

- >95% K efficiency
- <1% pion mistagging
- Single hit time resolution $\sigma_t \sim 280\text{ps}$
- Event time resolution $\sigma_t \sim 70\text{ps}$



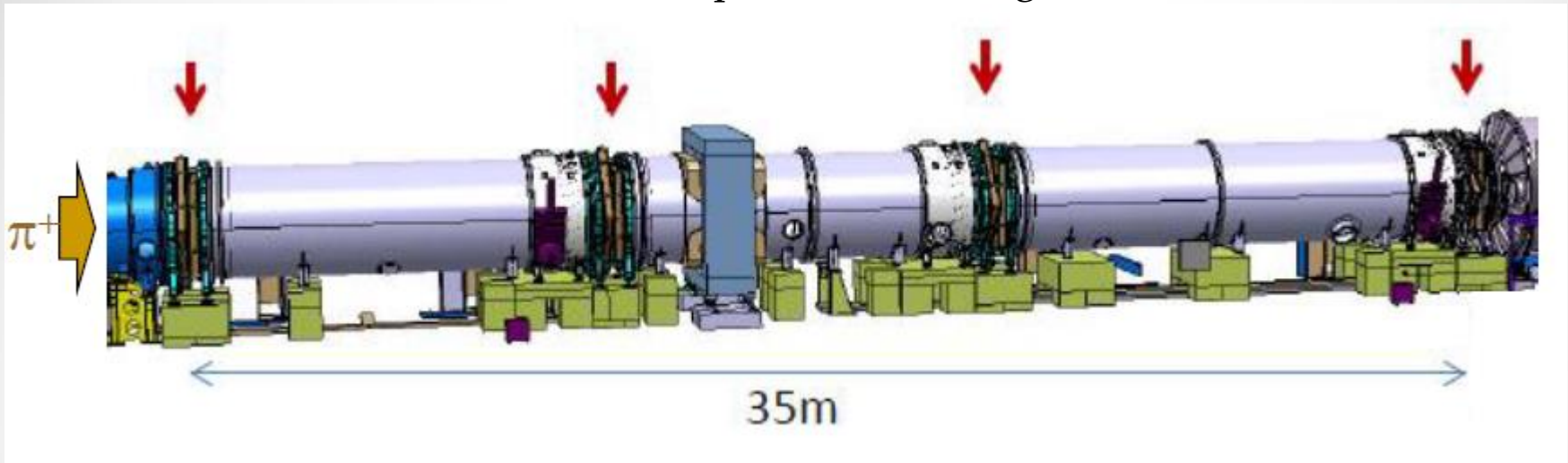
Single hit time resolution in 2015



Status: fully commissioned

Straw spectrometer

4 straw chambers in vacuum + spectrometer magnet



Straw chamber:

- 4 views x 4 planes/view
- Mylar tubes: $R=9.6\text{mm}$, $L=2.1\text{m}$
- 112 mylar tubes per plane
- $X/X_0 \sim 0.1\%$ per view

Performance:

- Spatial resolution $< 130\mu\text{m}$ (1 view)
- Angular resolution $< 60\mu\text{rad}$
- Momentum resolution $dp/p < 1\%$

Magnet:

- $B \sim 0.36\text{T}$
- $p_T = 270\text{ MeV}/c$

Status: fully commissioned

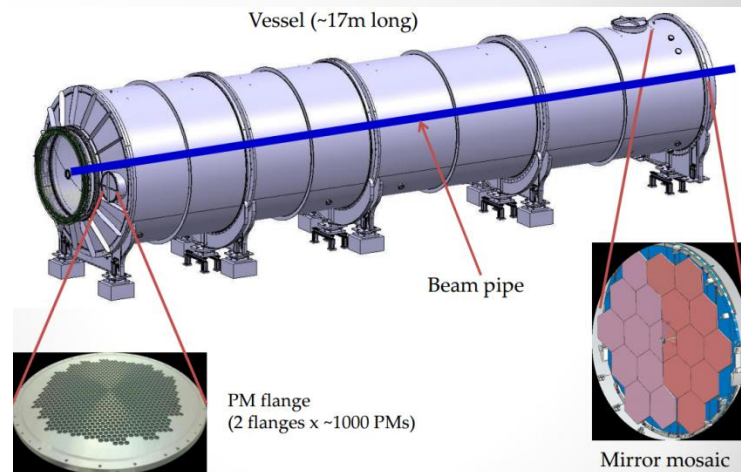
RICH

Detector:

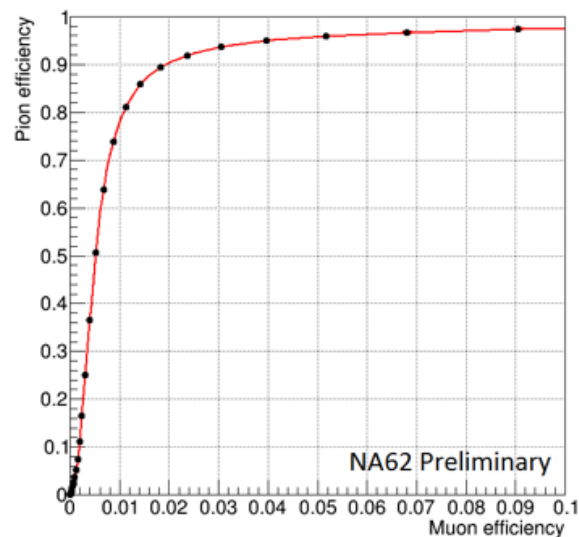
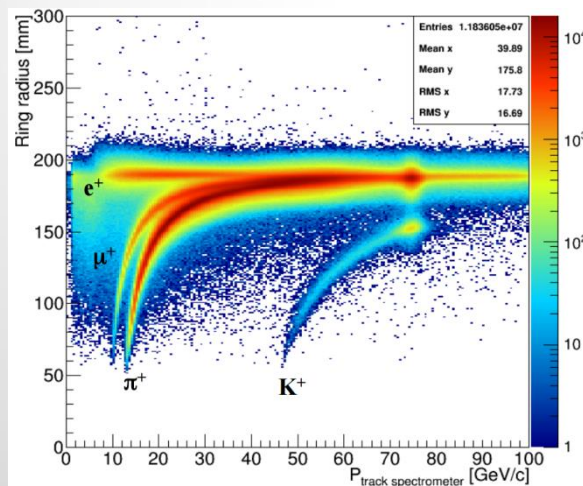
- 17m long vessel filled with Ne at atmospheric pressure
- Array of 20 mirrors
- 2 PM flanges
- 976 PMs per flange

Performance:

- μ mistagging $\sim 1\%$ for $15 < P < 35$ GeV/c
- Event time resolution ~ 70 ps



RICH PID in 2015



RICH vessel



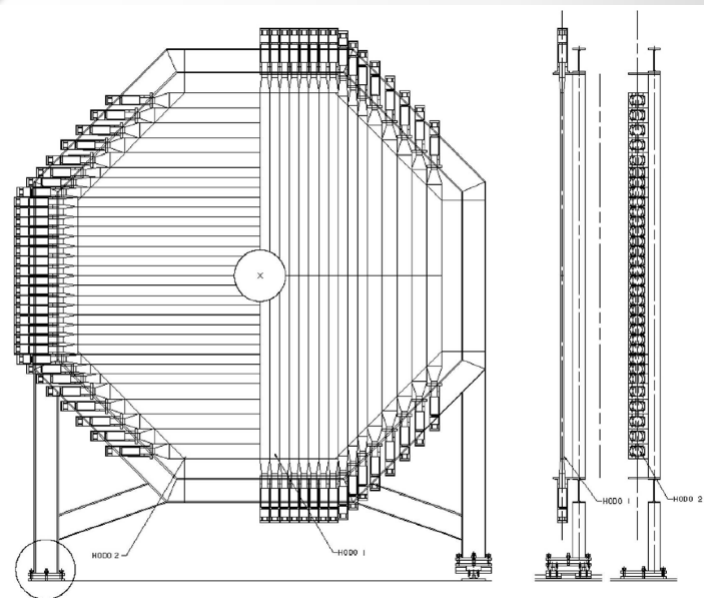
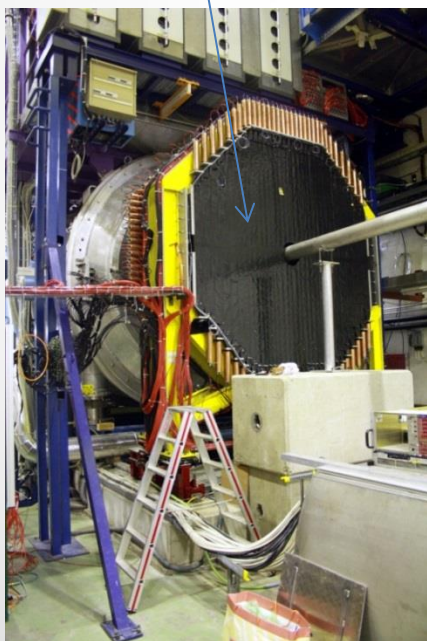
Status: fully commissioned

CHOD

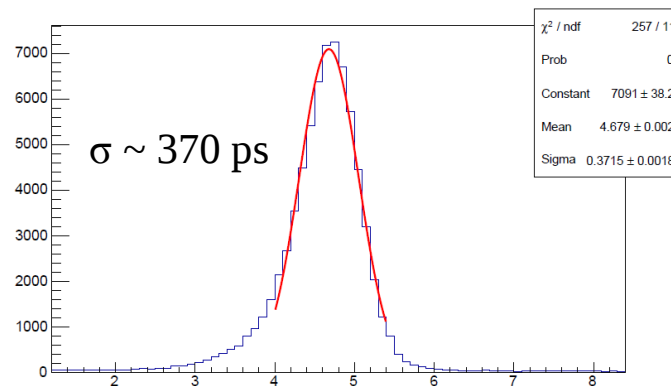
Detector:

- 2 planes with scintillator slabs
- 64 slabs per plane
- $X/X_0 \sim 5\%$ per plane

*CHOD
vertical plane*



CHOD time resolution in 2014



Performance:

- Time resolution with impact point correction $\sigma_t < 400 \text{ ps}$

Status: fully commissioned

Photon veto: LAV/LKr/IRC/SAC

LAV:

- ✓ 12 stations in vacuum
- ✓ **Cover 8.5 to 50 mrad**
- ✓ OPAL lead glass read by PMs
- ✓ $\sim 18.6 X_0$

LKr:

- ✓ NA48/2 em calorimeter
- ✓ **Cover 1 to 8.5 mrad**
- ✓ $\sim 27 X_0$
- ✓ 100ps time resolution

IRC & SAC:

- ✓ Small angle calorimeters
- ✓ **Cover <1 mrad**

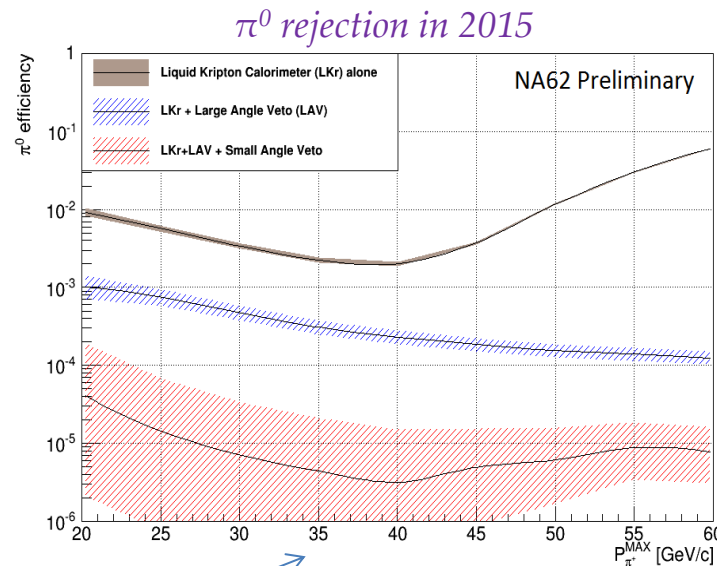
LAV+LKr+IRC+SAC:
 $\sim 10^8$ rejection of $\pi^0 \rightarrow \gamma\gamma$

LAV station



Performance:

- LAV $\sigma_t \sim 1$ ns
- LKr γ detection inefficiency for $E > 10$ GeV: $(1-\epsilon) < 10^{-5}$
- LKr $\sigma_t \sim 500$ ps
- IRC, SAC $\sigma_t \sim 1$ ns
- $O(10^6)$ π^0 rejection already obtained in 2015



Status: fully commissioned

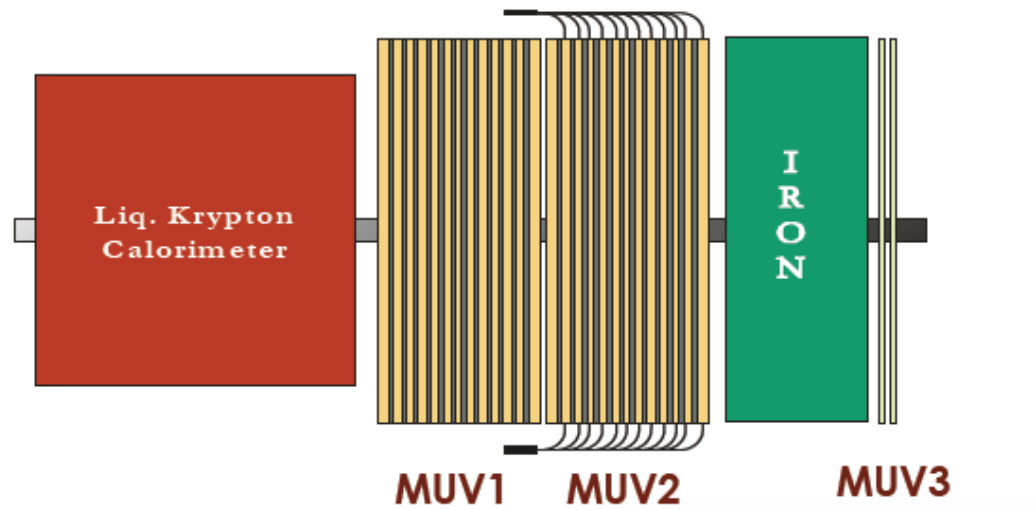
MUV

MUV1-2:

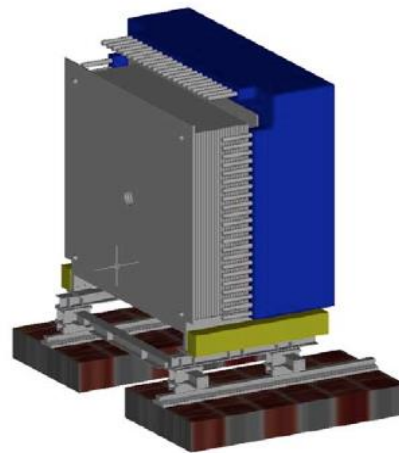
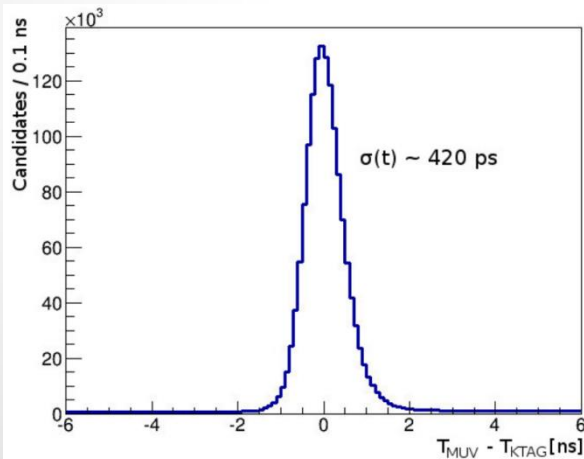
- Fe-scintillator calorimeter
- Hadronic/MIP cluster ID

MUV3:

- Scintillator tiles
- PM readout
- Used in L_0 trigger



MUV3 time resolution in 2015

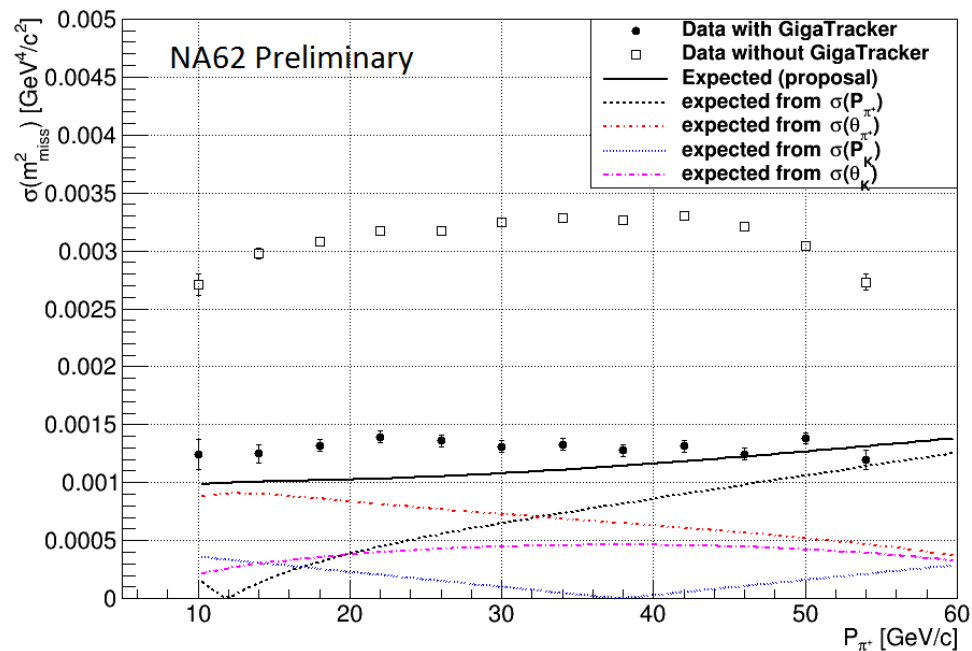
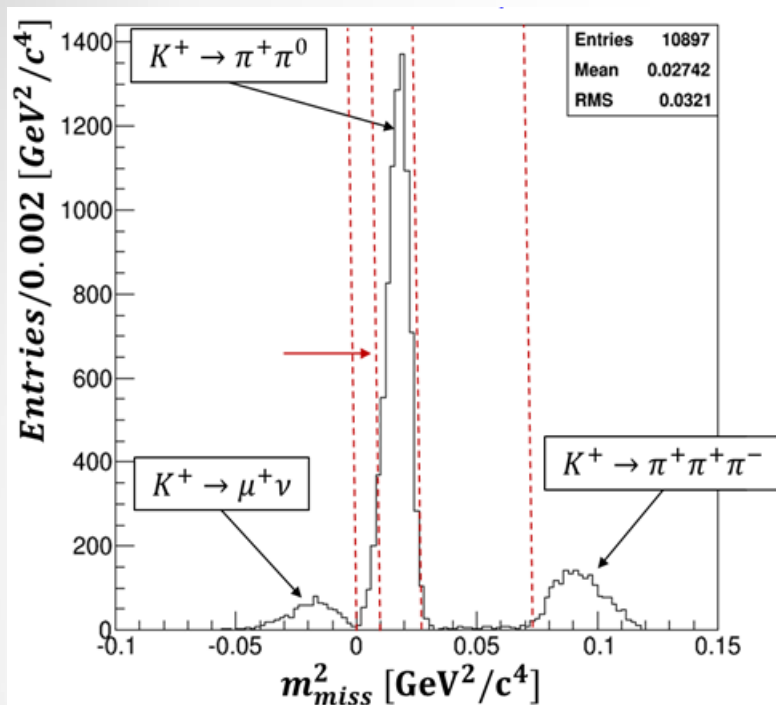


MUV3



Status: fully commissioned

First look at 2015 data



Resolution on m_{miss}^2 close to the design

$O(10^3)$ kinematic suppression factor in 2015

Goal: $10^4 - 10^5$ kinematic suppression

Rooms for improvement:

- GTK full commissioning
- Fine STRAW alignment with detailed B field map
- RICH fine mirror alignment
- Photon and muon rejection

Conclusions

- ❑ The decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ provides unique opportunities for NP searches complementary to LHC
- ❑ The NA62 is aimed at measuring $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ with $\sim 10\%$ precision by collecting $O(100)$ events in two years of data taking
- ❑ Most detectors were successfully commissioned during the 2014-2015 runs; detector performance within expectations
- ❑ NA62 is taking data in 2016-2018



spares



NA62: beyond the baseline

Decay	Physics	Present limit (90% C.L.) / Result	NA62
$\pi^+\mu^+e^-$	LFV	1.3×10^{-11}	0.7×10^{-12}
$\pi^+\mu^-e^+$	LFV	5.2×10^{-10}	0.7×10^{-12}
$\pi^-\mu^+e^+$	LNV	5.0×10^{-10}	0.7×10^{-12}
$\pi^-e^+e^+$	LNV	6.4×10^{-10}	2×10^{-12}
$\pi^-\mu^+\mu^+$	LNV	1.1×10^{-9}	0.4×10^{-12}
$\mu^- \nu e^+ e^+$	LNV/LFV	2.0×10^{-8}	4×10^{-12}
$e^- \nu \mu^+ \mu^+$	LNV	No data	10^{-12}
$\pi^+ X^0$	New Particle	$5.9 \times 10^{-11} m_{X^0} = 0$	10^{-12}
$\pi^+ \chi \chi$	New Particle	—	10^{-12}
$\pi^+ \pi^+ e^- \nu$	$\Delta S \neq \Delta Q$	1.2×10^{-8}	10^{-11}
$\pi^+ \pi^+ \mu^- \nu$	$\Delta S \neq \Delta Q$	3.0×10^{-6}	10^{-11}
$\pi^+ \gamma$	Angular Mom.	2.3×10^{-9}	10^{-12}
$\mu^+ \nu_h, \nu_h \rightarrow \nu \gamma$	Heavy neutrino	Limits up to $m_{\nu_h} = 350 \text{ MeV}$	
R_K	LU	$(2.488 \pm 0.010) \times 10^{-5}$	$> \times 2 \text{ better}$
$\pi^+ \gamma \gamma$	χ_{PT}	$< 500 \text{ events}$	10^5 events
$\pi^0 \pi^0 e^+ \nu$	χ_{PT}	66000 events	$O(10^6)$
$\pi^0 \pi^0 \mu^+ \nu$	χ_{PT}	—	$O(10^5)$