

# Production asymmetry of open charm mesons within unfavored fragmentation scenario

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15th International Workshop on Meson Physics - MESON 2018

7th - 12th June 2018, Kraków, Poland

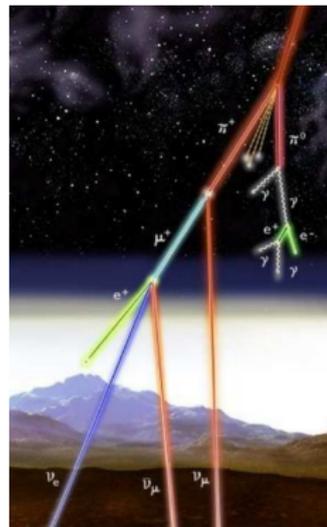


# Motivation from the atmosphere...

**High-Energy Neutrinos:** it is believed that the extremely high-energetic neutrinos observed by **IceCube Experiment** are of **extraterrestrial origin**.

Atmospheric background: neutrinos produced by the collision of cosmic rays (mostly protons) with the atmosphere (mostly  $^{14}\text{N}$ )

- **low-energy component:** conventional flux from decays of  $\pi, K$
- **high-energy component:** prompt flux from **semileptonic decays of  $D$  mesons**
- **Charm production at very high energies:** crucial for reliable identification of the origin - cosmic or atmospheric - of high-energy neutrinos detected by IceCube
- **Commonly accepted statement:** the only dominant partonic mechanism for open charm meson production at high-energies is  $gg \rightarrow c\bar{c}$  driven by gluon-gluon fusion.



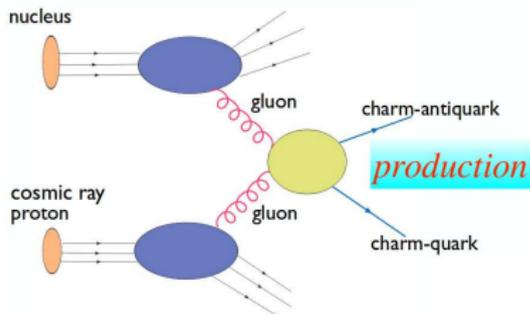
Recently we have performed a critical analysis of uncertainties in the high-energy production of charm ( $D$  mesons) in the context of high-energy neutrinos

**Goncalves, Maciuła, Pasechnik, Szczurek**, Phys. Rev. D96 (2017) 094026.



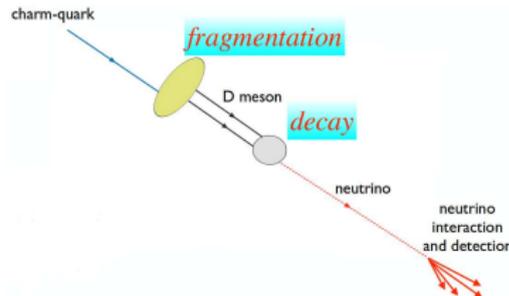
# Neutrinos from charm at very forward directions

The high-energy atmospheric neutrinos are produced mostly in very high-energy proton-Air collisions -  $\sqrt{s}$  larger than at the LHC



- **Very forward production:** the region of  $x_F > 0.3$  is crucial (not accessible at the LHC !)
- **Unknown kinematical regime:** configuration with one **very small** and one **very large**  $x$ 's (longitudinal momentum fractions) of gluons.

- **Leading (favored) scenario:** routinely one assumes that D mesons are produced from  $c$  or  $\bar{c}$  fragmentation
- no meson/antimeson production asymmetry at leading-order.
- LHCb observed  $D^+ / D^-$  asymmetry at forward directions (Phys. Lett. B718 (2013) 902)

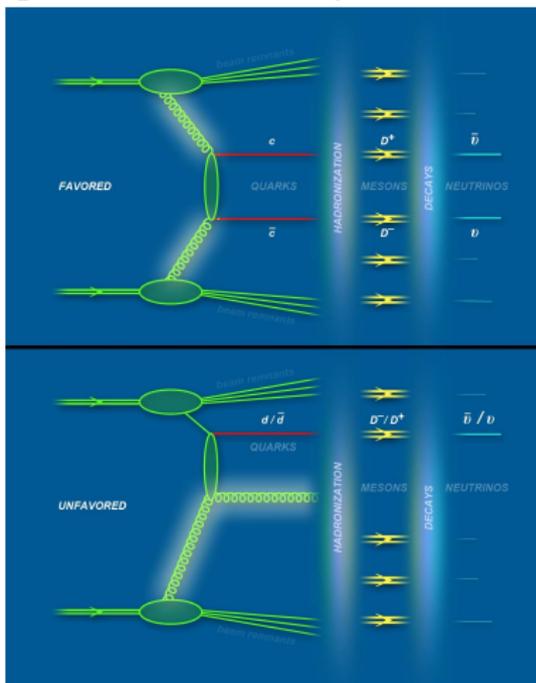


**Reason:** electroweak effects? NLO effects?  
light quark/antiquark asymmetry in proton?



# Subleading (unfavored) fragmentation scenario

We concentrate on the effect of initial quark/antiquark asymmetry in proton and formally on **subleading light quark/antiquark fragmentation**.



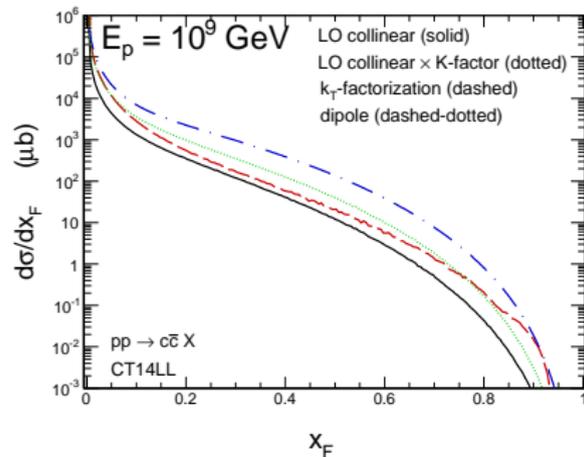
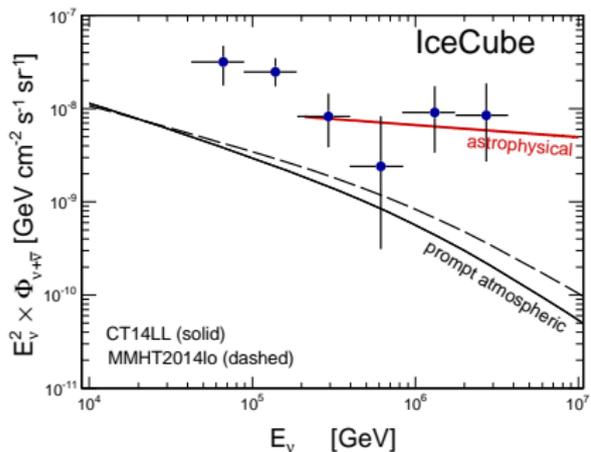
- The subleading fragmentation leads to **asymmetry in  $K^+$  and  $K^-$  production** (SPS, RHIC/BRAHMS). Also  $\pi^+\pi^-$  asymmetry was observed.
- We adjust **light-quark  $\rightarrow D$  fragmentation** parameters to describe LHCb  $D^+/D^-$  production asymmetry and make predictions for charm production at lower and higher energies and large Feynman- $x$ .

It may be...

- **helpful** to understand charm production cross section at low energies (favored scenario underestimates experimental data)
- **particularly interesting** for predictions for the kinematical regions important for production of **high-energy neutrinos observed by IceCube**.

# Prompt neutrino flux from charm

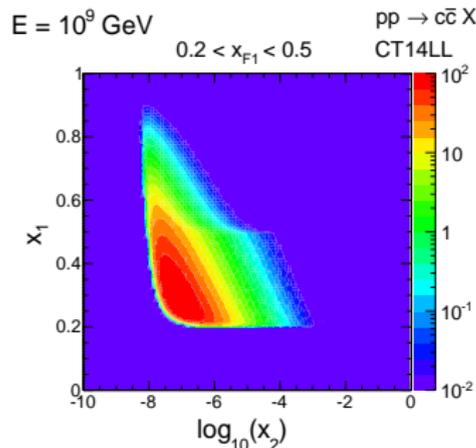
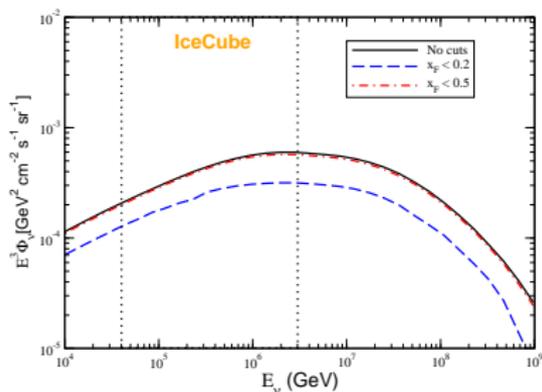
Six-year experimental data collected by the **IceCube Observatory**



- our predictions for **prompt atmospheric neutrino flux** together with a fit for the **astrophysical contribution** from Aartsen et al., *Astrophys. J.* 833, 3 (2016)
- **large experimental uncertainties**
- **very uncertain theoretical input** of charm production cross section in the **very forward direction**
- different pQCD approaches lead to quite different results



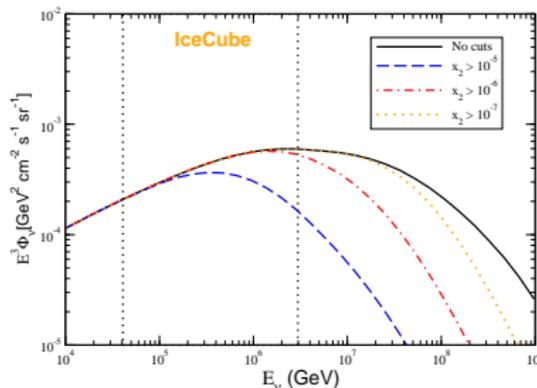
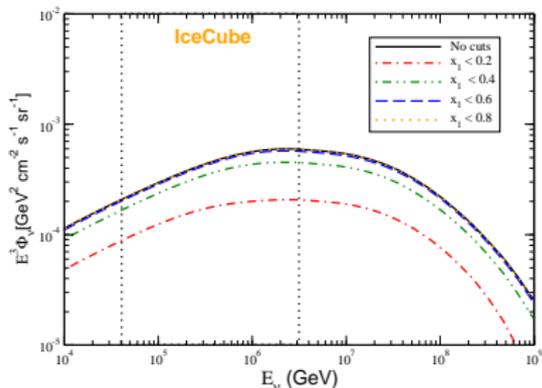
# Unknown kinematical regime @ IceCube Observatory



- the dominant contribution to the neutrino flux comes typically from  $x_F$  in the region  $0.2 < x_F < 0.5$
- within this  $x_F$  window, the dominant contribution comes from the region of  $x_1 \in (0.2 - 0.6)$  and  $x_2 \in (10^{-8} - 10^{-5})$
- in both these regions of longitudinal momentum fractions **gluon distribution is poorly constrained**
- behavior of the  $x_F$  distribution at intermediate  $x_F$  is directly associated with the charm production at **large rapidities, beyond those probed currently by the LHC detectors**



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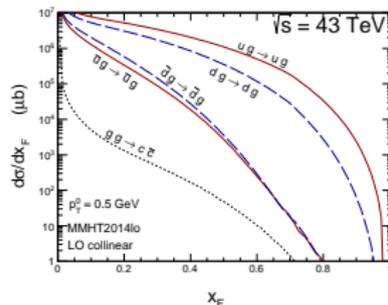
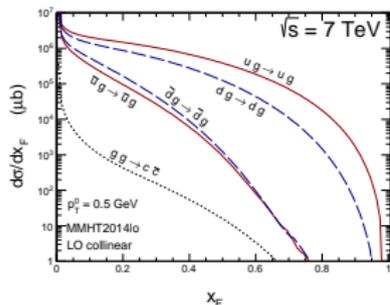


# Parton-level calculations within subleading scenario

## Light quark/antiquark production:

- we calculate the dominant at large  $x_F$  high-energy processes:  
 $ug \rightarrow ug, dg \rightarrow dg, \bar{u}g \rightarrow \bar{u}g$  and  $\bar{d}g \rightarrow \bar{d}g$
- the calculations are done in the leading-order collinear factorization approach with a special treatment of minijets at low transverse momenta, as adopted in PYTHIA, by multiplying standard cross section by a somewhat arbitrary suppression factor

$$F_{sup}(p_T) = \frac{p_T^4}{((p_T^0)^2 + p_T^2)^2} \theta(p_T - p_{T,cut}), \quad \text{where } p_T^0 = 0.5, 1.0, 1.5 \text{ GeV}$$



- $x_F$ -distributions of light minijet  $-u, d, \bar{u}, \bar{d}$  – used as an input in unfavored fragmentation
- in the forward (projectile) region, cross sections much larger than for standard  $gg \rightarrow c\bar{c}$  mechanism



# Unfavoured $u, \bar{u}, d, \bar{d} \rightarrow D^i$ parton fragmentation

The subleading FFs fulfill the following **flavour symmetry conditions**:

$$D_{d \rightarrow D^-}(z) = D_{\bar{d} \rightarrow D^+}(z) = D^{(0)}(z) .$$

Similar relations hold for fragmentation of  $u$  and  $\bar{u}$  to  $D^0$  and  $\bar{D}^0$  mesons. However  $D_{q \rightarrow D^0}(z) \neq D_{q \rightarrow D^+}(z)$  because of the contributions from decays of vector  $D^*$  mesons.

- we assume for doubly suppressed fragmentations:  $D_{\bar{u} \rightarrow D^\pm}(z) = D_{u \rightarrow D^\pm}(z) = 0$
- we are particularly interested in low transverse momentum  $D$  mesons so we ignore possible DGLAP evolution effects important at somewhat larger scales

We can parametrize the unfavoured fragmentation functions in this phase space region as:

$$D_{q \rightarrow D}(z) = A_\alpha (1-z)^\alpha \quad (\text{called } \textit{triangle}).$$

Instead of fixing the unknown  $A_\alpha$  we will operate rather with the fragmentation probability:

$$P_{q \rightarrow D} = \int dz A_\alpha (1-z)^\alpha .$$

and calculate corresponding  $A_\alpha$  for a fixed  $P_{q \rightarrow D}$  and  $\alpha$ . Therefore in our effective approach we have only two free parameters.

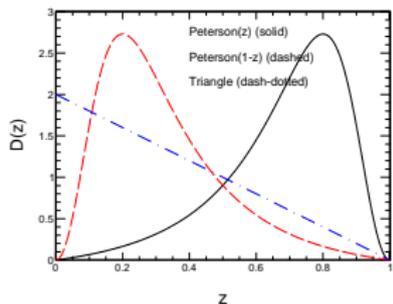
Another simple option one could consider is:

$$D_{q \rightarrow D}(z) = P_{q \rightarrow D} \cdot D_{\text{Peterson}}(1-z) ,$$

here  $P_{q_f \rightarrow D}$  is the only free parameter.



# Unfavored $u, \bar{u}, d, \bar{d} \rightarrow D^i$ parton fragmentation



- for heavy quark fragmentation ( $c \rightarrow D$ ) the Peterson FF is peaked at large  $z$
- FF for light quark is expected to be dominant at small  $z$
- this is the case of Peterson fragmentation function reflected with respect to  $z = 1/2$
- we use such a functions purely phenomenologically to test uncertainties related to the shape of the a priori unknown FF

In addition to the direct fragmentation (given by  $D^{(0)}(z)$ ) there are also contributions with intermediate vector  $D^*$  mesons. Then the chain of production of charged  $D$  mesons is:

$$\begin{aligned} \bar{u} &\rightarrow D^{*,0} \rightarrow D^+ \text{ (forbidden)}, & u &\rightarrow \bar{D}^{*,0} \rightarrow D^- \text{ (forbidden)}, \\ \bar{d} &\rightarrow D^{*,+} \rightarrow D^+ \text{ (allowed)}, & d &\rightarrow D^{*,-} \rightarrow D^- \text{ (allowed)}. \end{aligned}$$

In reality the first two chains are not possible as the decays of corresponding vector mesons ( $D^{*,0}$  and  $\bar{D}^{*,0}$ ) are forbidden by lack of phase space.

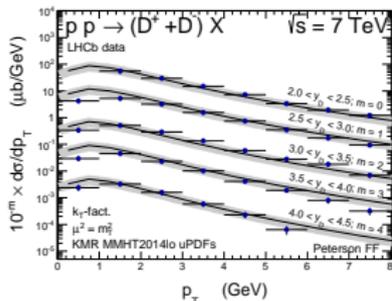
Including both direct and resonant contributions the combined fragmentation function of light quarks/antiquarks to charged  $D$  mesons can be written as:

$$D_{d/\bar{d} \rightarrow D^\mp}^{\text{eff}}(z) = D_{d/\bar{d} \rightarrow D^\mp}^0(z) + P_{\mp \rightarrow \mp} \cdot D_{d/\bar{d} \rightarrow D^{*,\mp}}^1(z).$$

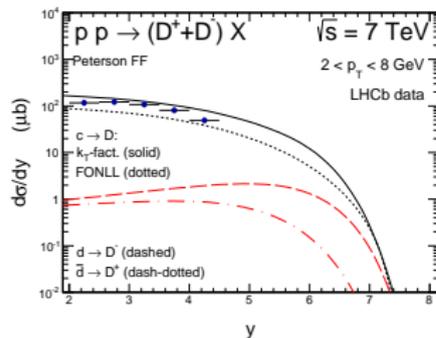
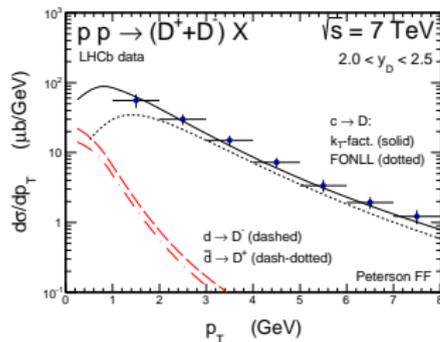
The decay branching ratios can be found in PDG and is  $P_{\pm \rightarrow \pm} = 0.323$ .



# LHCb charm data and theory predictions

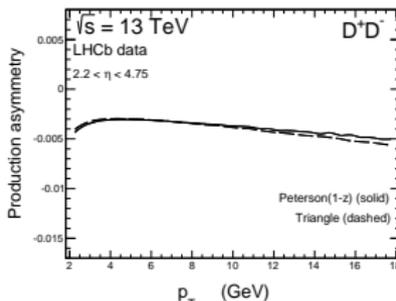
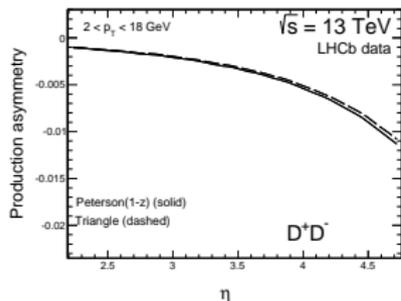
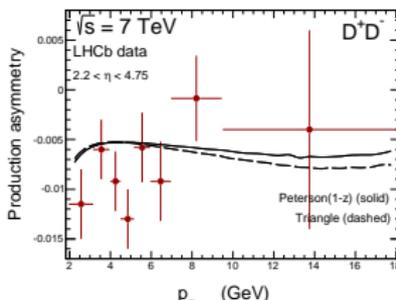
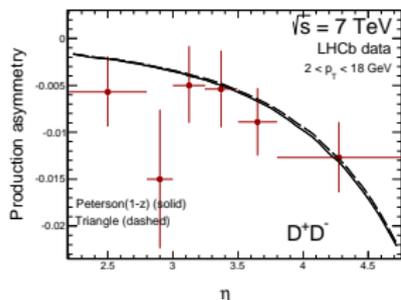


- to calculate asymmetry we have to include dominant contribution from **conventional  $c/\bar{c} \rightarrow D/\bar{D}$  fragmentation**
- the LO pQCD calculation is not reliable in this context
- in the following the conventional contribution is calculated within the  **$k_T$ -factorization approach**
- such an approach seems consistent with collinear next-to-leading order approach – **FONLL**
- at high energies the **subleading contribution seems to be negligible, except of very small  $p_T$ 's and very large rapidities**



# $D^+ / D^-$ production asymmetry at the LHCb

$$A_{D^+ / D^-}(\xi) = \frac{\frac{d\sigma_{D^-}}{d\xi}(\xi) - \frac{d\sigma_{D^+}}{d\xi}(\xi)}{\frac{d\sigma_{D^-}}{d\xi}(\xi) + \frac{d\sigma_{D^+}}{d\xi}(\xi)}, \text{ where } \xi = x_F, y, p_T, (y, p_T)$$

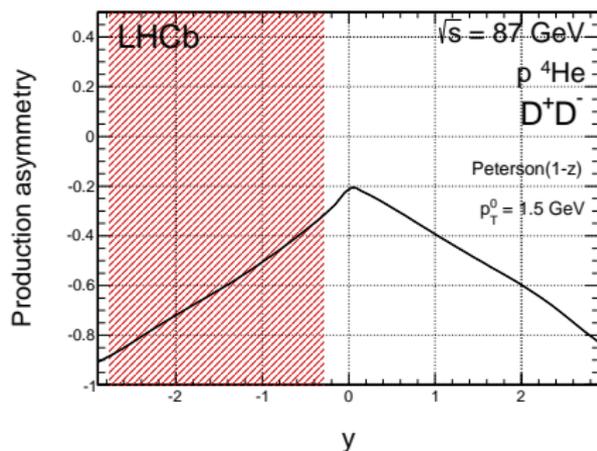
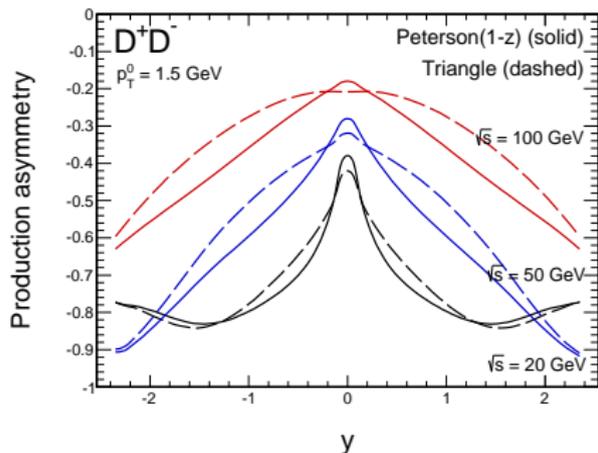


- $P_{q \rightarrow D} = 0.005 \pm 0.001$  for *triangle* FF and  $P_{q \rightarrow D} = 0.007 \pm 0.001$  for Peterson(1 - z)
- larger collision energy  $\Rightarrow$  smaller asymmetry



# Large asymmetries at low-energies

Here we include all partonic processes with light quark/antiquark in the final state.



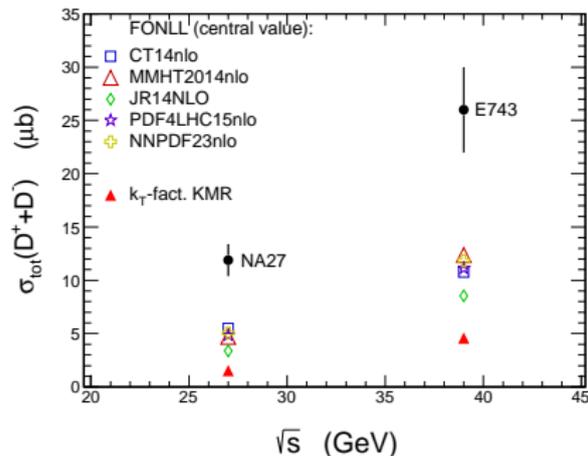
- asymmetry at the lower energies is much larger than that for the LHC energies
- even at midrapidity  $y \approx 0$  we predict sizeable asymmetries
- our rough predictions could be checked at SPS , RHIC or at fixed target LHCb.
- it would allow to better pin down the rather weakly constrained so far  $D_{q \rightarrow D}(z)$



# Low-energy disagreement

- dominant at high-energy  $gg \rightarrow c\bar{c}$  mechanism gives only 13% and 18% for  $\sqrt{s} = 27$  and 39 GeV, respectively and **strongly underestimates** the NA27 and E743 data

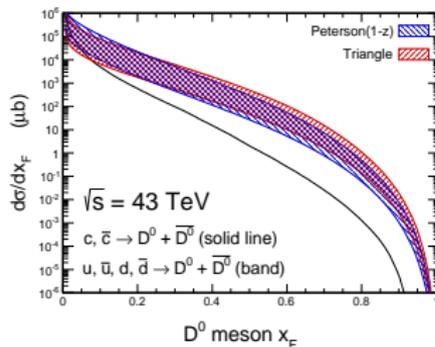
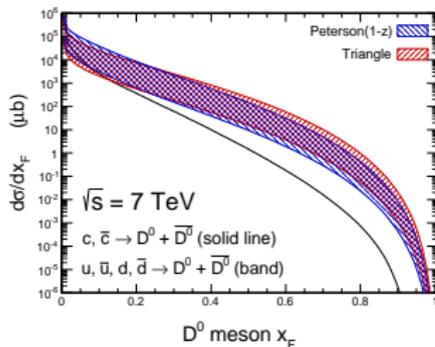
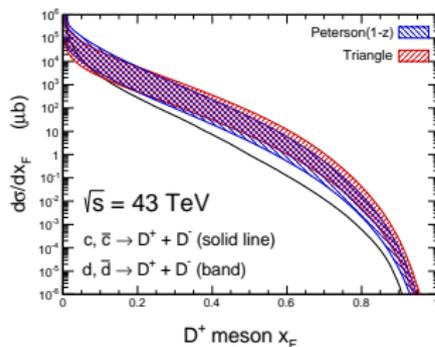
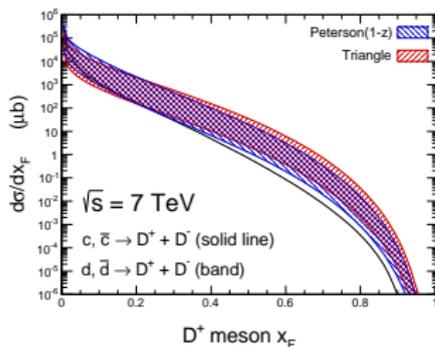
Process:	$\sqrt{s} = 27$ GeV	$\sqrt{s} = 39$ GeV
$g^*g^* \rightarrow c\bar{c}$ ( $c/\bar{c} \rightarrow D^\pm$ )	1.52	4.58
$q^*\bar{q}^* \rightarrow c\bar{c}$ ( $c/\bar{c} \rightarrow D^\pm$ )	0.08	0.19
$gd \rightarrow gd$ ( $d \rightarrow D^-$ )	9.53	13.89
$g\bar{d} \rightarrow g\bar{d}$ ( $\bar{d} \rightarrow D^+$ )	3.03	4.78
$dd \rightarrow dd$ ( $d \rightarrow D^-$ ) $\times 2$	3.07	4.29
$\bar{d}\bar{d} \rightarrow \bar{d}\bar{d}$ ( $\bar{d} \rightarrow D^+$ ) $\times 2$	0.29	0.49
$\bar{d}d \rightarrow \bar{d}d$ ( $d \rightarrow D^-$ )	0.58	0.88
$d\bar{d} \rightarrow d\bar{d}$ ( $\bar{d} \rightarrow D^+$ )	0.58	0.88
$ud \rightarrow ud$ ( $d \rightarrow D^-$ )	2.76	3.72
$\bar{u}\bar{d} \rightarrow \bar{u}\bar{d}$ ( $\bar{d} \rightarrow D^+$ )	0.12	0.19
$\bar{u}d \rightarrow \bar{u}d$ ( $d \rightarrow D^-$ )	0.40	0.63
$u\bar{d} \rightarrow u\bar{d}$ ( $\bar{d} \rightarrow D^+$ )	0.97	1.42
Theory predictions	22.93	35.94
Experiment	NA27: $11.9 \pm 1.5$	E743: $26 \pm 4 \pm 25\%$



- the discussed by us mechanism of subleading fragmentation leads to **enhanced production of  $D$  mesons at lower energies.**



# Very-high energies

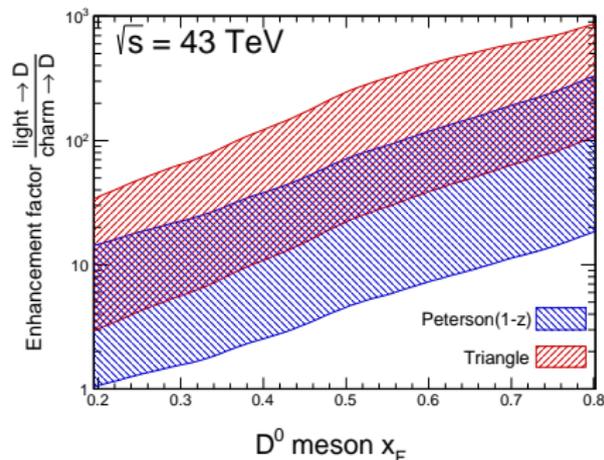
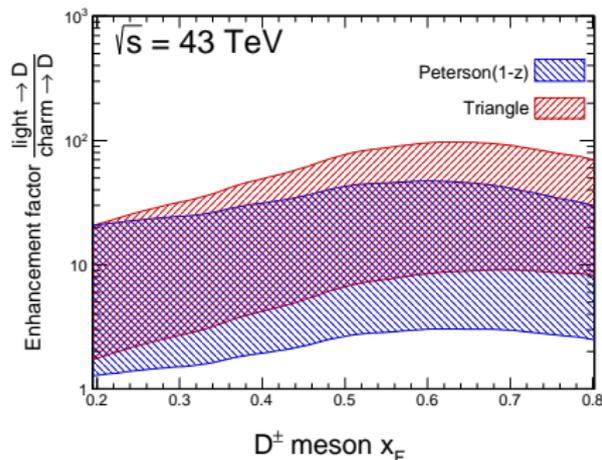


Unfavored fragmentation mechanism may be very important for high-energy neutrinos!



# Consequences for prompt neutrino flux

Large enhancement of the cross section at large  $x_F$



- at  $\sqrt{s} = 43$  TeV for  $x_F \sim 0.5$  the cross section for charged mesons ( $D^+ + D^-$ ) is 3 – 15 times bigger than for conventional approach while the cross section for neutral mesons ( $D^0 + D^0$ ) is 20 – 200 times bigger.



# Conclusions

- We have made **critical analysis** of charm production **in the Earth's atmosphere**.
- Present standard, **rather unsure**, approach **leaves room for extraterrestrial neutrinos**.
- By analogy to  $K^+$ ,  $K^-$  production we have considered a possibility of unfavoured fragmentation (fragmentation induced by light quarks/antiquarks)
- The **intial parton asymmetry** leads then to  $D/\bar{D}$  asymmetry.
- We have **adjusted parameters** of the subleading fragmentation to describe the **LHCb  $D^+/D^-$  asymmetry**.
- **Huge asymmetries** have been predicted **for small energies** and/or **large Feynman-x**. **Fixed-target LHCb** experiment and **NA61** experiment at SPS could look at this !
- The subleading fragmentation dominates over  $c \rightarrow D$  or fragmentation at low energies. **And explains missing strength !**
- We find large contribution of the subleading fragmentation to large- $x_F$  region also at very high collision energies, **relevant for high-energy neutrinos measured by IceCube**.
- Can the new mechanism explain the IceCube high-energy data requires further critical analysis?
- **NLO**, **electroweak** and **meson cloud** corrections must be included in a future in a **consistent manner !**

Thank You!

